













# Strengthening Local Agricultural Innovation Systems in Tanzania and Malawi to Adapt to the Challenges and Opportunities Arising from Climate Change and Variability

Submitted by the Institute of Resource Assessment (IRA), University of Dar es Salaam, Tanzania with Natural Resources and Environment Centre (NAREC), University of Malawi and Natural Resources Institute (NRI), University of Greenwich, UK



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Cover photo: Chibelela village farmer learning group members survey their sunflower learning plot - by Tanya Stathers, NRI

#### **ABSTRACT**

In many sub-Saharan African countries, poverty and food insecurity are linked to low agricultural productivity which accelerating climate change (CC) threatens to make even worse. In Tanzania and Malawi, a key challenge for decision makers is to understand the context and strategies of farmers and other stakeholders in agriculture for adapting to CC, including increasingly variable climatic conditions. Diverse farming environments and complexities associated with the context of peoples' livelihoods varying over time and space suggest a need for localised innovation to enhance and sustain productivity. There is therefore a need to foster processes for two-way communication and engagement amongst these stakeholders and for supporting their information and other needs in order to strengthen farmers' and other stakeholders' capacities to adapt.

Four years of action research in Tanzania and Malawi targeted farming communities in two contrasting agro-ecological zones per country namely high and low potential together with local, district, national, and regional. The project collectively identified information, training and products to be shared and used to develop agricultural innovation systems better able to adapt to CC and variability. A combination of a sustainable livelihoods framework and innovations systems thinking provided a conceptual frame and a learning alliance approach guided our action research. The project built on: Trans-disciplinary partnerships and initiatives in agriculture and natural resources; Tanzania's and Malawi's NAPAs (National Adaptation Programmes of Action), which prioritize agriculture; Farmers' livelihood strategies in relation to CC; and other agricultural stakeholders' (public & private) strategies. The process included distinguishing agro-ecologically and socio-economically more (Southern Highlands, Tanzania; Mzimba and Mulanje districts, Malawi) and less favoured areas (semi-arid Central Zone, Tanzania; Chikhwawa and Karonga districts, Malawi) and direct and indirect benefits to the vulnerable.

Diverse stakeholders within the agricultural innovation systems reported similar experiences and perceptions of climate change and climate variability (CC&CV) for the focal geographical locations. A participatory process was used to identify and develop the different agricultural adaptation information, training and product needs of the key stakeholders/ boundary partners (farmer learning groups, extension, stockists, media, research, NGOs, meteorological officers, National Consultation Group). The action research supported a multi-stakeholder experiential learning approach centred on village learning plots for collective planning, testing, evaluating and reflecting cycles. The action research themes included: soil and water management; crop and varietal biodiversity; and local weather data. An annual learning visit and stakeholder workshops were developed as participatory monitoring and evaluation processes, to help: share the learning amongst the different boundary partners; shape the future cycles of learning; and capture the lesson learning. An outcome mapping approach was incorporated into the learning visit to monitor and reflect on behavioural change amongst different boundary partners in relation to adaptation and supporting adaptation to CC&CV. In addition to the collective learning process, key adaptation practices identified by the agricultural innovation system actors included: deep tillage for in-situ harvesting of rain water; early maturing and drought resistant varieties and crops; new cash crops; training on community seed production; improved irrigation arrangements; CC&CV awareness raising. Farmers have begun practicing what they experimented with at the village learning plot on their own fields, and neighbouring farmers have also started testing the practices and processes. Key players in the agricultural innovation systems such as NGOs and district extension offices are already scaling out the agricultural adaptation practices and processes. Further work is needed to share the findings more widely.

**Key words**: agricultural adaptation to climate change, agricultural innovation systems, boundary partners, Tanzania, Malawi, in-situ rain water harvesting, crop diversification, deep tillage, action research



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### 1.0 Background

This action research funded by DFID and IDRC through the Climate Change Adaptation in Africa (CCAA) programme targets farming communities in contrasting sites (less and more favoured areas (agro-ecologically and socio-economically)) of Tanzania and Malawi together with local, district, national, regional and international stakeholders and identifies/accesses information to be shared and used to develop agricultural innovation systems better able to adapt to climate change and climate variability (CC&CV). A combination of a sustainable livelihoods framework and innovations systems thinking provides a conceptual frame and a learning alliance approach guides our action research. We are building on: Trans-disciplinary partnerships and initiatives in agriculture and natural resources; Tanzania's and Malawi's National Adaptation Programme of Action (NAPAs), which prioritize agriculture; Farmers' livelihood strategies in relation to CC; and other agricultural stakeholders' (public & private) strategies.

The project's contract began on the 1st April 2007 and ended in March 31, 2011. However this report also covers a three month no-cost extension. This draft final technical report presents the project's research activities, outputs and outcomes. Further details can be found in the separate project activity reports produced during the course of the project and available on the project website <a href="http://www.ccaa-agrictama.or.tz">http://www.ccaa-agrictama.or.tz</a>. The project team wishes to acknowledge the significant support from IDRC/DFID which has sustained this interesting and important research on strengthening local agricultural innovation systems to adapt to climate change.

### 2.0 Research problem

Climate change and climate variability (CC&CV) is a major challenge to rural livelihoods and national economies in sub-Saharan Africa, most of which are underpinned by smallholder agriculture CC (long term) and variability (inter annual, intra annual) are among the major challenges agricultural systems in these areas are facing. There is a general consensus that climate is changing; impacting negatively and positively on different agricultural and livelihood systems. Communities, therefore need to adapt .

Diverse farming environments and complexities associated with peoples' livelihoods varying over time and space suggest a need for localised innovation to enhance and sustain productivity. Processes for two-way communication and engagement amongst these stakeholders and for improving access to information and other needs require fostering in order to strengthen farmers' and other stakeholders' capacities to adapt. There are numerous studies that show how different communities are adapting to climatic disasters. Very few of these studies have focused directly on the relationship between climate change and variability and rural livelihoods. Additionally, these studies mostly apply a single discipline approach rather than multidisciplinary/ multi-agency; have insufficient consideration of vulnerable groups within communities; and have little influence on key decision makers. In Malawi and Tanzania the key challenge is for policy makers to understand the context and strategies of farmers and other stakeholders in agriculture for coping and adapting to variable climatic conditions, in order to engender innovation. Diverse farming environments and complexities associated with peoples' livelihoods varying over time and space suggest a need for localised innovation to enhance and sustain productivity. Processes for two-way communication and engagement amongst these stakeholders and for improving access to information and other needs require fostering in order to strengthen farmers' and other stakeholders' capacities to adapt.

This action research project aimed to facilitate a process of interaction and learning whereby information/ knowledge from different sources was shared and used in effective ways by stakeholders in agricultural innovation systems to better adapt to climate change and variability. The project builds on Tanzania's and Malawi's National Adaptation Programmes of Action (NAPAs). The NAPAs prioritize agriculture in both countries as one of the sectors most vulnerable to CC&CV.

The action research intended to contribute directly to capacity strengthening primarily at the local scale, through improving the ability of participating individuals, organizations and systems to utilize knowledge more effectively, efficiently and sustainably in addressing local, national and regional priorities that will contribute to adapting to CC. The process will systematically identify and share lessons and new knowledge particularly with respect to adaptation strategies relevant to the region with key decision makers for further capacity strengthening to enhance innovation and adapt to CC in ways that benefit the most vulnerable.

## 3.0 Objectives of the study

#### 3.1 Overall objective

The overall objective was to strengthen the capacity of individuals, organizations and systems within the agricultural innovation systems in less favoured areas (semi-arid Central Zone-Singida and Dodoma, Tanzania and Chikwawa/Karonga, Malawi) and more favoured areas (Southern Highlands, Tanzania and Mulanje/Mzimba Malawi) of Tanzania and Malawi to adapt to the challenges and opportunities arising from CC & V.

The individuals and organizations within the agricultural innovation systems include farmers disaggregated by gender, age, resource access, vulnerability; district councils; agricultural extension service providers, stockists; private sector companies; NGO staff; trainers; researchers; media; policy influencers and decision makers.

#### 3.1.1 Specific objectives

Specifically, this action research project aims to:

- 1. Strengthen farmers' capacity to access and use quality information, training and products in order to adapt to climate change and climate variability
- 2. Strengthen the capacity of private and public sector stakeholders to make agricultural innovation systems work more efficiently, equitably and responsively to climate change and climate variability
- 3. Learn and share lessons for scaling up successful strategies for capacity strengthening (individuals, organizations and systems) within agricultural innovations systems to adapt to climate change and climate variability

The involvement of the different actors in this action research process will enhance their understanding of the resilience of each of their livelihoods in adapting to changing climatic conditions and their different knowledge sets. Their involvement will create opportunities for combined learning about and testing of adaptive strategies that could be implemented in the shorter and longer term enabling local, regional and national policy processes to be informed by practical, internally generated knowledge.

## 4.0 Vision and mission of the project

Both the vision and mission statements of the project remained the same and are described below.

#### 4.1 Project Vision

"African farming communities, public and private agricultural service providers, policy makers and development partners have strengthened capacity to adapt to climate change/variability in ways that benefit vulnerable groups. The key stakeholders share experiences and knowledge on adaptive strategies in a changing climate in forums at all levels. Farmers, together with other stakeholders, are more innovative, taking advantage of the positive outcomes and overcoming the negative impacts of climate change/variability; contributing to sustainable livelihoods (food security, income and environmental management)."

#### 4.2 Project Mission

"This project will strengthen the capacity of individual farmers, public and private agricultural service providers and policy makers to develop strategies that allow vulnerable groups to adapt to Climate Change and Variability. Working together with local communities and other stakeholders at different levels, existing and new agricultural technologies and strategies for adapting to climate change will be analyzed, evaluated and documented. Sustainable and viable innovations will be identified for a range of users and more or less favoured areas (agro-ecologically and socio-economically) in Tanzania and Malawi.

### 5.0 Research methodology

The action research was guided by three main frames of reference. A combination of a sustainable livelihoods framework and innovations systems thinking provided a conceptual frame and a learning alliance approach will guide our action research.

Sustainable livelihoods framework: The sustainable livelihoods framework presents the main factors that affect people's livelihoods, and typical relationships between these (Carney, 1998, <a href="https://www.livelihoods.org">www.livelihoods.org</a>). A sustainable livelihoods approach puts people at the centre of our conceptualization and planning and the assessment of the impact of implementation. Vulnerability was explicitly considered within the framework in terms of trends, shocks and seasonality affecting livelihood options. These was explored particularly with respect to CC & V eg shocks (drought, floods), trends (increasing incidence of crop diseases and pests), seasonality (eg predictability, rainfall duration and intensity). The approach provided a means of understanding people's current capacity (assets or capital endowments) and how these are currently or potentially converted into livelihood outcomes, particularly adapting to climate change and variability. Structures and processes are keys to mediating people's actual livelihood strategies. These include public and private sector organizations and formal and informal institutions. This component of the framework provides an obvious link with an Innovation Systems approach (see below).

Innovation systems concept. The Innovation Systems concept, although originating from policy debate in more industrialized countries in the 1970s and 1980s, still provided useful insights into strengthening agricultural innovation capacity in project sites. An Innovation System (IS) may be defined as a 'network of organizations, enterprises and individuals focused on bringing new products, new processes and new forms of organization into economic use, together with the

institutions and policies that affect their behaviour and performance' (Agricultural and Rural Development -ARD World Bank 2006). This benefited our project because the emphasis of the approach was not only on professional scientists but the totality and interaction of actors involved in innovation. This was implemented through a number of processes including farmer learning plots and individual plots. Knowledge sharing and exchange of information was shared through meetings, workshops and seminars.

Learning platforms/ Learning alliances. Complex problems such as capacity strengthening for adapting to CC & V require innovative solutions. Finding such solutions requires diverse stakeholders to engage, learn together with the ultimate aim of developing the collective commitment and capacity to turn ideas and plans into action. This can be achieved through facilitating multistakeholder processes and social learning. In this project we advanced the development of multistakeholder learning platforms at local, intermediate and national levels in each of Tanzania and Malawi based on action learning initiatives which the project partners developed (Figure 1).

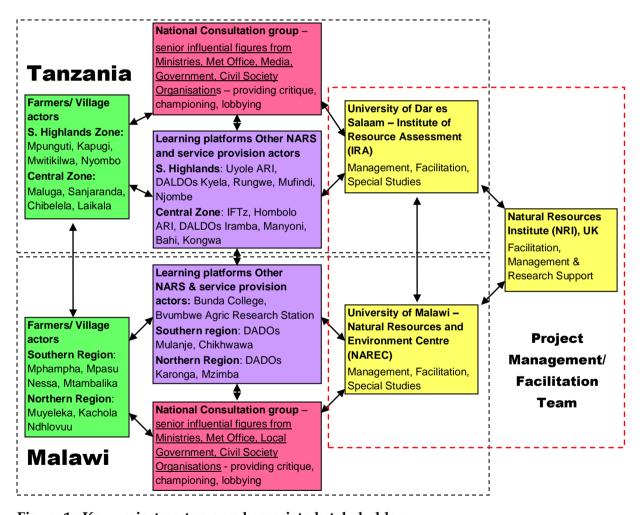


Figure 1: Key project partners and associated stakeholders

# 6.0 Project activities

Table 1 presents a summary of different activities achieved over a period of 4 years of the project. These activities were planned and are indicated in the project proposal document. Additional activities implemented by the project are described at the end of this table.

Table 1: A summary of different activities per objective implemented by the project

<b>Project Specific Objectives,</b>	Achievements	Yr 1		Yr 2	. ]	′r 3	1	Yr 4	
Outcomes, Outcome		A-	O-	A-	O- A	A- (	)- [	Α-	O-
indicators, Activities and		S	M	S	M S	N	$\Lambda$ $\leq$	S	M
Milestones									
,	mers' capacity to access and use quality information, training and products in order to ad	apt to	o clir	nate d	change	and	clim	ıate	
variability									
	her stakeholders in agriculture better understand their own and other key actors' situations					to C	C &	V	
Outcome 1 indicator: Key actors able to clearly articulate understanding of strategies of a) target farmer categories b) other key stakeholders -Year 4.									
Act 1.1 - Project inception	The inception workshop was held in order to: Reach a common understanding of the								
workshop <i>by month 3, and</i>	project objectives and strengthen ownership with project partners; Share relevant ideas								
report by month 6	and experiences amongst project partners; Carry out detailed planning of project								
	activities with project partners, especially for Yr 1.								
	It took place in Bagamoyo, Tanzania from 11-16 June, 2007 and was attended by 19								
	participants (including researchers, lecturers, NGO staff, Regional Agriculture Advisors								
	Ministry of Agriculture staff), with visits from the CCAA programme management, the								
	University of Dar es Salaam's IRA Director, and the Tanzanian Vice Presidents Office.								
	Full details are given in the workshop report.								
A at 1.2 Literature manipus									
Act 1.2 - Literature review	In order to ensure that the project's activities were informed by the current								
(livelihood strategies,	understanding of 'climate change and variability science, projections, impacts,								
vulnerability, climate	adaptations, adaptive capacities, livelihood strategies, vulnerability, innovation, and								
change/ variability,	service provision knowledge' reviews of the global, and national and local level								
innovation, service	Tanzanian and Malawian relevant literature covering these topics were done in both								
provision) 1st draft by month	Malawi and Tanzania.								
6, final by month 12	Full details are in the literature reviews, which are organised using the following								
	sections: Introduction; Science of climate change and variability; Impact of climate								
	change on livelihoods (with part emphasis on agriculture and vulnerability); Innovation								
	systems; Agricultural service provision; Adaptation to climate change; Use of sustainable								
	livelihoods approach (SLA) framework, Innovation Systems and Learning Alliances;								

	Recommendations for project implementation using those approaches.				
	The literature reviews were initially targeted to the project team, and then adapted for use with other stakeholders such as extension and farmers, and could inform other actors in the agricultural innovation system interested in CC.				
Act 1.3 - Consultation with stakeholders. At least 2 (1/country) stakeholder consultation surveys carried out - Yr 1. At least 4 stakeholder workshops sharing survey results, including with NCGs-Yr 1.	The objectives of the project's stakeholder consultation surveys in both Tanzania and Malawi were to: Identify key stakeholders, their roles and activities in relation to the agricultural innovation system and CC & V; Understand their current perceptions and practices regarding CC & V and adaptation; Identify patterns of interaction, including relationships; Examine enabling environments (policies, infrastructure, informal institutions, incentives); Identify individuals and organizations to work with the project e.g. in the National Consultation Group and/or as part of the Learning Alliance.				
	The survey was guided by a checklist based on an agricultural innovation systems framework, and was done with a range of stakeholders from enterprise, research, intermediary, support and demand domains from February – April 2008. In Tanzania the focus was on Central and Southern Highlands Zone, and Dar es Salaam, and Arusha for input supply companies. In Malawi the focus was on Southern and Northern Zones and Lilongwe and Blantyre. A total of 74 and 83 stakeholder interviews were held in Tanzania and Malawi respectively.				
	Full details are in the reports. Key issues arising were: Non-farmer stakeholders based in the regions perceptions' of CC appear broadly consistent with those of people at community level. None of the stakeholders consulted in the Southern Highlands and Central Zone and very few in Dar es Salaam were aware of the National Adaptation Programmes of Action (NAPA). Almost all stakeholders considered CC&V issues to be important or very important.				
Act 1.4 - Situation analysis followed by baseline survey. At least 4 village-based studies per country completed and reported by trans-disciplinary	Situation analyses to explore rural peoples' knowledge, perceptions and strategies in relation to CC & CV within a broader livelihoods context was done by trans-disciplinary teams in eight less favoured and eight more favoured villages in both Malawi and Tanzania in Oct-Nov 2007. Full details are given in the reports, some key issues arising were:				
teams – Month 9.	<ul> <li>Later onset, earlier cessation, reduced amount of rainfall (particularly in less favoured areas) and less predictable rains (particularly in more favoured areas) was reported. Increased wind strength was reported in all less favoured and some more favoured areas. Some suggestion that temperatures were getting warmer.</li> <li>Farmers attributed changes in climate to the local reduction in trees and other</li> </ul>				

	vegetation or spiritual beliefs as opposed to global change.						
	<ul> <li>Agriculture is key in these communities' livelihoods and most non-farm strategies are currently linked to agriculture/natural resources. Rapid population increase and resulting land pressure suggest that non-farm activities will be crucial elements of their future livelihood strategies.</li> </ul>						
	• The less predictable and in many cases shorter rainy season has led to a farmer strategy of switching to faster maturing crop varieties, amongst other adaptations.						
	• Women (particularly those who were pregnant or lactating), children, the elderly and the poor are perceived as the most vulnerable to climate changes, mainly because they are less able to leave and search for casual labouring opportunities, and additionally have fewer assets to sell/ exchange for food.						
Act 1.5 - Undertake field	This activity was started during the situation analysis (Activity 1.4), and ongoing						
observations to monitor and	interaction with the farmer learning groups has deepened understanding of climate						
understand climate change	change coping and adaptation strategies (e.g. risk management through spatial and						
coping and adaptation	temporal agro-biodiversity management with beans in Kapugi village).						
strategies - <i>Process initiated</i>							
by month 9, progress reports by month 12, 24, 36, 48							
Outcome 2: Information, traidentified and shared.	nining and product demands for strengthening climate change & variability adaptation strate	egies	of dif	ferent st	akehol	lders	
Outcome 2 indicator: Strategi	es for strengthening capacity in relation to climate change adaptation in place in target areas by end c	of mon	ıth 12				
Act 2.1 – Participatory analysis of the identified coping and adaptation strategies with farmers and	This activity was achieved through a number of activities. Initially coping and adaptation activities were identified following presentations during the inception workshop from Regional Agricultural and Advisors (RAA) from Iringa and Singida regions in Tanzania, and from Ms Harieth Gausi (Acting Assistant Agricultural						
other stakeholders. <i>At least 4</i> village-based participatory	Development Officer for Dedza district) in Malawi. Participatory identification and analysis of community level coping and adaptation strategies occurred during the						
assessments of farmers'	situation analyses in a total of 16 villages in Tanzania and Malawi as well as during the						
demands per country completed	stakeholder consultations. These strategies were shared, validated and further discussed						
and reported - by month 10	during the National Stakeholders workshops in Tanzania (see report) and Malawi, and						
	again in the Kigamboni, Tanzania 2008 team planning meeting (see report), and the						
	Zonal Tanzania and Malawi annual planning meetings (see respective reports). This						
	process continued during annual Zonal learning visits and the stakeholder learning						
	workshops held in Tanzania and Malawi in 2010 (see respective reports).						

Act 2.2 - Participatory identification of info, training and products demands of different stakeholders for immediate, short and long term climate change adaptation and variability. At least 4 stakeholder workshops for participatory assessment of non-farmer stakeholder demands - month 12.	Following detailed village situational analysis and consultation with non farmer stakeholders in both Tanzania and Malawi, a number of issues including information needed to strengthen adaptation capacities (eg rainfall patterns), products required (types of fertilizers, seeds etc), training needs such training on climate science others were identified for the different key stakeholders/ boundary partners. This long list was analysed by the team, choosing issues that could be implemented by the project with respect to time and budget. A list generated from the project planning meeting was then presented back to respective project villages for approval before implementation began. Issues finally agreed with communities were then implemented through learning plots. Regular participatory monitoring and evaluation of these demands occurred during the annual learning visits.				
Act 2.3 - Develop innovative approaches, methods and tools based on the participatory analysis and demands identified. Part 2 of the activity 2.2 stakeholder workshops for participatory development of innovative approaches, methods and tools - month 12.	Development of innovative methods started during the inception workshop following presentations of Dr Paul Seward from Kenya (FIPS) and also from Dr Richard Gibson from NRI (see the inception workshop report). This process continued after situational analysis and stakeholders' consultations. It became clear that due to the diversity of situations, strategies and assets in the different locations (8 locations per country), an approach which supported locally-specific collective experimentation was needed to ensure the outcomes were both locally meaningful and collectively understood by the different players in the agricultural innovation systems. Identification of possible action research themes (e.g. soil and water management, agro-biodiversity management, CC awareness raising, shared learning on CC adaptation) was further refined during national stakeholder consultation workshops in both Tanzania and Malawi (2008), the Kigamboni annual planning meeting, and the zonal planning meetings (Oct 2008). Some potential technologies were identified for the multi-stakeholder learning groups to test and compare with their farmers normal practices. These included: in-situ rainwater harvesting using different tillage implements (eg spring jembe, power tillers, Magoye rippers); soil fertility management practices (eg soil compost making (Mapambano), locally produced Mnjingu mazao fertiliser, farmyard manure incorporation at different application rates). Participatory video use was identified as a potential tool for providing influential voice to farmers (particularly those in vulnerable groups), and for scaling out the learning. A participatory video training course was run in Tanzania, training village extension workers and researchers, who in turn trained farmers. The stakeholder learning workshops assisted in generating and sharing further ideas.				

Outcome 3: Farmers' and other stakeholders capacity to adapt to climate change/variability enhanced through improved access, understanding and decision making/analytical skills.

Outcome 3 indicators: Number of farmers (X% women, Y% resource poor) in 12 villages in each of 4 project sites acting on and receiving positive benefits from improved access to information, training and products on climate change adaptation according to their own indicators by end of Yr 4. Reduced vulnerability of primary beneficiaries in the longer term.

Act 3.1 - Building on the activities 1.1-1.5 and 2.1-2.3 above validate approaches, methods and tools to address information, training and product demands of the different stakeholders. For target groups (women, resource poor and vulnerable) at least 5 approaches validated in target districts - Year 4 for a)better informed decision making and b) enhancing ability to act on the improved understanding.

The project has been using the PAR process in selecting, implementing, monitoring and evaluating/reviewing the interventions for each specific site. The process involved multi-stakeholder participation of the local agricultural innovation system actors. These multi-stakeholder learning groups have 'learnt by doing'; collectively planning, implementing, monitoring progress or effect, reflecting and deciding whether to adopt, repeat the experiment, change some factors or conduct a new experiment) that have potential to solve the problem. The stakeholders included farmers (men, women and different well being groups), researchers, extension officers, meteorological officers, NGOs, media and stockists. These partners were involved throughout the project,. The process has been implemented through planning meetings (at village, zonal and national level), stakeholder workshops and learning visits. Before each season, all participating stakeholders have been meeting to choose and prioritize adaptation strategies based on ongoing needs assessment and their action learning. For example, farmers chose to experiment on the learning plots with different crops and crop varieties, different tillage systems and manure and fertiliser applications with the help of extension officers and researcher. Researchers and extension officers drew plot designs and assisted farmers to lay them down for easy comparisons of treatments. The learning plots were jointly assessed by all participating stakeholders, and the next seasons plans were based on their findings. The project team acted as facilitators throughout the process.

Act 3.2 - Pilot successful strategies for building farmers and other stakeholders' capacity to cope with/ adapt to climate change through action research with a range of stakeholders at project sites Capacity strengthening strategies for different types of farmers in groups in 12 villages

Some examples of the practices coming out of the multi-stakeholder learning plots are provided below.

#### Malawi:

- Farmers view the use of combinations of organic manure and industrial fertilizers on maize production as superior in terms of grain yield compared to either of them alone particularly in times of prolonged dry spells. This could partly be attributed to the improvement of soil physical properties such as increased soil moisture retention as a result of organic manure.
- Similarly, conservation agriculture involving no-till and use of herbicides (roundup & harness) in maize production has shown superior yield over traditional hand hoe

at each of 2 pilot sites per	tillage due to improved soil moisture retention.
country implemented years 2-4.	Use of tie ridging has performed very well in water conservation and ensuring high
At least 5 new service provision	yields.
approaches, methods or tools	In areas with limited access to irrigation water, use of sunken beds for prolonged soil
used by other stakeholders -	moisture retention was introduced under irrigated agriculture and adoption of this
Year 4.	technology is high due its accrued benefits such as: user friendly, high crop
	performance and economic use of scarce water resource available.
	Crop diversification including fruits was highly appreciated.
	Crops and varieties selected
	✓ SC 403 and DKC 8033 maize varieties in Mulanje, Karonga and Chikhwawa (DK
	8033 in Chikhwawa, especially under irrigation). Under rainfed, SC 403 and
	Pannar 67 were selected for Chikhwawa. SC 403 and Pannar 53 in Mzimba
	✓ Mbundumali/manyokola cassava variety in Mulanje and Chikhwawa and Sauti
	(bitter variety) in Karonga. Multiplication was emphasized during this year's
	growing season for sharing among farmers. Similar activity has been done for
	sweet potatoes.
	✓ Mugamba, sweet potato variety in Mulanje; Mugamba and Salera varieties in
	Chikhwawa
	✓ ICPL 87105 pigeon peas variety in Mulanje
	✓ Chalimbana Groundnuts in Mzimba but Nsinjiro was evaluated as higher
	yielding (three times higher) compared to Chalimbana
	Tanzania:
	In the Southern Highlands a number of different crops and crop varieties were tested in
	various villages and the choice of best performers aried between villages. Among the
	bean varieties tested Wanja was preferred by a number of the farmers. At Mwitikilwa
	and Nyombo the varieties of choice are being produced on larger individual plots in the
	current season. The banana varieties FHIA 17 and FHIA 23 have impressed farmers by
	their high yields and resistance to Black Sigatoka disease at Kapugi and suckers from the
	Mother Plot are being uprooted and planted in individual plots. The maize varieties
	UH615 and UH6303 performed well at Mwitikilwa and Nyombo and farmers are looking
	for ways of accessing seed in future. At Kapugi the varities UHS5210 and UHS5355 gave
	high yields and showed good tolerance to maize streak virus disease unlike UH615
	which was as susceptible as the local variety. Farmers will be on the lookout for seed as
	soon as the varieties are available on the market.

	In central zone of Tanzania, early and drought tolerant sorghum namely Macia and Pato varieties are being grown by individual farmers in Chibelela, Laikala and Sanjaranda villages because of their ability to withstand adverse effects of climate change. Similarly, improved sunflower variety Record performed well across villages. Some participating								
	villages notably Chibelela and Laikala decided to multiply Quality Declared Seeds (QDS)								
	of Record sunflower variety during 2010/2011 in isolated areas after having realized the								
	advantage of this improved variety in terms of yields and oil content compared to their traditional variety which usually has notoriously low yields and low oil content.								
	Production of sunflower QDS aimed to facilitate the majority of their farming								
	community including the vulnerable to have access to improved seeds at affordable								
	price. In view of high quantity of sunflower Record variety produced during the								
	reporting period, it is expected that during 2011/2012 growing season majority of farmers within participating and non participating villages will have access to improved								
	sunflower seed								
	Furthermore, pilot areas in Central zone of Tanzania realized the importance of soil								
	moisture conservation through a wider adoption of deep tillage methods whereby								
	extensive increase in the use of oxen drawn tillage implements has occurred since inception of learning plots.								
Objective 2. To strengthen the	e capacity of private and public sector stakeholders to make agricultural innovation system	ns w	ork n	iore e	effici	ently	, equ	itabl	<u>у</u>
and responsively to climate ch	nange and climate variability								
	ate sector service provision processes related to adapting to climate change/ variability asse								
	fors indicate qualitative change in understanding of different categories of service providers' rationale	- Yea	ir 2. Ii	ncreas	sea ae	mana	by set	rvice	
·	<i>Ing, communication and marketing tools - Year 4.</i> This activity was combined with activity 1.2.								
agricultural service	This activity was combined with activity 1.2.								
provision. 1st draft by month									
6, final by month 12									
Act 4.2 - Consultations with	This activity is the same as activity 1.3.								
key stakeholders (to include									
themes such as incentives,									
relationships, processes)									
Report by month 12									
Act 4.3 - Participatory	The stakeholder consultation survey discussed factors which encourage innovation, and								
analysis of factors e.g.	particularly adaptation innovation such as access to up-to-date information (eg deeper								
incentives which will	understanding of CC science and adaptation options being used elsewhere), training								
encourage innovation.	opportunities, products including knowledge of appropriate seed varieties and								

Report by month 12	implements, transport, ICT equipment.								
Outcome 5: Non-farmer stakeh	olders' capacity to adapt to climate change/ variability enhanced through improved access,	unde	erstar	nding	and	decis	ion m	aking	g/
analytical skills.					•			`	,
Outcome 5 indicators: Number of	farmers (X% women, Y% resource poor) in 12 villages in each of 4 project sites acting on and receivi	ng po	sitive	bene	fits fro	om im	prove	d acce	ess
to information, training and produ	ucts on climate change adaptation according to their own indicators by end of Yr 4. Reduced vulnerab	oility (	of pri	mary	benefi	ciarie	s in th	e long	zer
term.									
Act 5.1 - Building on the	Although, the plan was for the learning plots to be multi-stakeholders in order to								
activities 4.1-4.3 (in addition	enhance adaptation to CC&V by all key players in the local agricultural innovation								
to 1.1-3.2) above validate	systems. However, in practice farmers, researchers, extensionists and non-governmental								
approaches, methods and	organization were involved in the learning plots with only occasional involvement of								
tools to address information,	media, policy makers and meteorological officers. Involvement of private sector input								
training and product	stockists in Tanzania has not been fully exploited. In Malawi, the private sector were								
demands of the different	involved in the project's NCG and also in learning plots with particular interest in maize.								
stakeholders. For target									
groups (women, resource poor									
and vulnerable) at least 5									
approaches validated in target									
districts - Year 4 for a)better									
informed decision making and									
b) enhancing ability to act on									
the improved understanding.							-		
Act 5.2 - Pilot successful	See 3.2								
strategies for building									
stakeholders' capacity to									
cope with/ adapt to climate									
change through action									
research with a range of									
stakeholders at project sites  Capacity strengthening									
strategies for different types of									
farmers in groups in 12 villages									
at each of 2 pilot sites per									
country implemented years 2-4.									
At least 5 new service provision									
approaches, methods or tools									
used by other stakeholders-Yr4.									

Objective 3. To learn and share lessons for scaling up successful strategies for capacity strengthening (individuals, organizations and systems) within									
agricultural innovations syste	ms to adapt to climate change and climate variability				-	,			
	ct activities on primary beneficiaries (eg women, resource poor and vulnerable groups) and	servi	ce pr	ovide	ers/ p	olicy	actor	s	
assessed by key stakeholders									
Outcome 6 indicator: To be id		ì	1						
Act 6.1 – Training of key actors in participatory M&E. <i>Key actors trained in</i>	At the time the project started very few project team members had knowledge of PM&E. However a series of opportunities were organised to change this.  • Three key project team members namely Prof Amos Majule (team Leader), Dr								
Participatory M&E - Month 6.	Ema Liwenga, Mrs Miriam Joshua attended a PM&E training in Cairo, Egypt organised by IDRC in 2007.								
	<ul> <li>Following this an outcome mapping training involving all project team members was organized place in Malawi in December 2007. This training was facilitated by Dr Alistair Sutherland from Natural Resource Institute, University of</li> </ul>								
	Greenwich, UK. Knowledge gained by the three team members who attended IDRC organized training in Cairo provided input to the Malawi training.								
	<ul> <li>After this it was was felt that more of the project partners needed to be capacitated on Outcome Mapping. IDRC approved this request and a further training was conducted in Bagamoyo, Tanzania in July 2008.</li> </ul>								
	The project developed a Monitoring and Evaluation Framework document which was used by the project.								
Act 6.2 - Baseline survey	This activity was not conducted and instead annual participatory learning visits were								
using indicators identified	implemented in both countries (see learning visit reports for Tanzania and Malawi (see								
during situation analysis	2009, 2010 and part 2011 learning visit reports).								
and stakeholder									
consultation survey - Report									
by month 9									
Act 6.3 - Participatory M&E	There are a number of sub activities implemented to address this activity including,								
of capacity building	formation of National Consultation Groups in Tanzania and Malawi, facilitating two								
strategies, involving policy	meetings for them in both countries (see reports). Updating of progress against outcome								
influencers and decision	mapping progress makers by boundary partners during the learning workshop in								
makers. Indicators refined and	Morogoro Tanzania in 2010, and the 2011 learning visit. NCG visiting project sites in								
agreed with National	Tanzania and Malawi for example one NCG member visited Malawi site in Nessa during								
Consultation Group (NCG),	IDRC presidents visit to Malawi. DALDO's, TMA (in Tanzania), MEDIA and NGO's								
including possible links with	participating in learning process/visit in both countries. Visit of Regional Agricultural								
NAPA resources - Month 6. M & E reports containing details	Advisors to project sites in Singida with project team members.								

of outcomes over previous 12						
months for each of the 4years						
for both Malawi and Tanzania						
by Year1, 2,3 4						
	ses for improved strategies to adapt to climate change/variability strengthened by key stakeho					
	innovative approaches, methods or tools for learning developed and implemented beyond project target si	tes - Ye	ear 4. F	armers i	n differe	nt
	novative practices for adapting to CC outside target districts - Year 4.		1			
Act 7.1 - Development of	Strategies for sharing the lesson learning have included:					
strategies for sharing the	Farmer field days at the learning plot sites					
lesson learning. At least 5	<ul> <li>Presentations at regional and international workshops/conferences</li> </ul>					
new methods for enhancing	Drama groups Chibelala, Laikala, Mpasu, Mwitikilwa, who include songs and					
communication between and	poetry					
within target groups being	Stakeholder learning workshops					
implemented - Yr 4.	Annual participatory learning visits, and the learning visit reports					
	TV and radio interviews eg Tanzania Broadcasting Corporation, Malawi					
	Broadcasting Corporation					
	Participatory video					
	News paper articles (see policy and outreach outputs in Section 7 of this report)					
	Village based CC awareness seminars.					
	Learning plots positioning to attract curiosity on passing farmers					
	Involvement of key actors in the local agricultural innovation systems					
	Development of a Communication, Knowledge Sharing and Learning					
	StrategyProject website, regularly updated project flyers					
Act 7.2 - Constraints and	Different constraints and opportunities experienced are described under the following					
opportunities for scaling up	subheadings:					
- Yr 2 onwards						
	A. Constraints					
	Participation: low participation of some key stakeholders: eg Public extension was					
	missing/less committed in some villages.					
	<b>Transfers:</b> Transfer of extension staff and political leaders in most sites across Tanzania					
	and Malawi affects the up-scaling of best bet innovations.					
	Incompatibility of expectations between some farmers and project: Based on previous					
	experience some farmers who joined the learning plots withdrew because they expected					
	to get free input supply for their individual plots due existing dependence syndrome.					
	The deviants had wanted to have bigger plots for high produce to be shared amongst					

them. Some farmers wanted to be paid for participating in the learning plots. Recently it seems there are projects which pay farmers for participating in their activities.

**Prolonged Dry Spells**: Prolonged dry spells continued to hit some of locations such as Mphampha in Malawi contributing to the failure of other technologies.

**Long process for disbursement of funds**: There was delayed disbursement of funds in year one in Malawi which resulted in delayed implementation of agreed PAR activities. Political influence on agricultural sector leads to short term thinking and adaptation to

climate changes needs a longer term perspective.

Influence of gender dynamics on selection of crops to be grown in a season: In some areas such as Chikhwawa men dominated in decision making. Most men prioritized growing of cotton with minimal attention to successful evaluated crops such as sweet potatoes and cassava which performed much better than cereals under prolonged dry spells. In Malawi, although farmers recognise the benefits of crop diversification there seems to be low uptake of other food crops. Reasons include:

Limited markets for alternative crops: Farmers lack knowledge or information on market availability of other crops which can do well in their locality (if produced in bulk e.g. sorghum) and enhance their adaptive capacity. Local markets are not conducive because of low prices and demand.

**Dignity associated with alternative food stuffs**: Maize is prioritized. Households who eat alternative food stuffs such as millet and sorghum are regarded impoverished. These alternatives are only sought after widespread famine in the localities.

**Dominant cultural orientation**: food refers to maize: Although crop diversification is promoted, in practice national food security relates to maize yield which is the country's staple food. Farm input interventions like the subsidy program focus much on maize production. Although the coupons/voucher gives farmers liberty to purchase other alternative seeds of their choice responding to their agro ecological and climatic conditions, the other alternative seeds are scarce on the market. Seed companies focus on high yielding maize seeds.

#### **B.** Opportunities:

**Transfers:** The transfer exercise may provide an opportunity for scaling up of successful technologies and processes to other similar environment. For example a DADO who was transferred from Mulanje District in Malawi has started applying the knowledge gained in his new area (Thyolo District). In view of successful interventions as a result of a few

	committed members of groups there are likelihoods of having more groups' formation.  District Commissioner for Rungwe District in Tanzania who was transferred to Mwanza Region has been encouraging farmers to improve water and soil conservation practices.  Prolonged dry spells: Acted as an opportunity to identify the most promising technologies suitable for such agro-ecological conditions particularly in low potential areas, the learning plots strikingly outperformed surrounding farmers fields leading to lots of interest by other farmers			
	Information sharing between stakeholders eg between extension and extension,			
	Kilimo kwanza policy in Tanzania: For example provision of subsides to communities			
	<b>Political</b> : The opportunity is to try to find the ways to marry together the short term and long term e.g. How to combine disaster risk management and longer term climate change response (building resilience to climate change impacts).			
Act 7.3 – Participatory formulation of strategies for scaling up - <i>Yr 2 onwards</i>	During the learning visit, all the boundary partners were asked about who and how they were sharing the learning with, and ways in which the project could be improved. Suggestions for these strategies have at the farmer level included: having exchange visits between learning sites, holding more field days. At the extension level: using village cinema to share the learning; bring their visitors to see the learning plots; expanding the activities to cover more sites and developing more farmer learning groups; linking the learning groups to savings and credit opportunities.			
Act 7.4 - Support/	Sharing of the learning has been encouraged through the learning visit reports, NCG			
encourage	meetings, project website and flyers, media interviews, support of baby plots at			
operationalization of	individual farmers' houses. Districts council and DALDOs, and the involved NGOs in			
strategies for scaling up - <i>Yr</i>	Tanzania and Malawi are starting to use their resources to set up similar CC adaptation			
2 onwards	learning activities in other sites.			
Additional activities undertak	sen	 		
Annual planning meetings	Each year the project team met to discuss and plan the forthcoming seasons action research activities and other project and CC adaptation activities. These meeting are reported separately and occurred in: May 2008 (Kigamboni, Tanzania); October 2008 (Central Zone, and Southern Highlands Tanzania, and Karonga district Malawi); September 2009 (Blantyre, Malawi); September 2010 (Bagamoyo, Tanzania); June 2011 (Kibaha, Tanzania)			
Annual learning visits	The first CC adaptation field learning season was 2008/2009. A learning visit approach was developed in May 2009 and used each year. The aims of			

the learning visit were:	
1) To share the learning associated with the learning	plot activities among the project
team and other stakeholders in order to:	, provided vines dinority the project
- share what is happening,	
<ul> <li>build on that learning to help sustain the pre-</li> </ul>	rocess in the future
- make sure that future planning is building of	
- get the project team together to interact, upo	
focusing on all aspects of the project and po	
2) To do a participatory assessment of the outcome n	
boundary partners.	
Separate detailed reports exist for each year's learning	visit (May 2009, May/June 2010,
May/June 2011).	

# 7.0 Project Outputs and products

This section provides an account of project outputs, which includes research reports and publications, capacity building activities, and other media policy and outreach outputs. These outputs are directly achievable products of the project's completed activities.

#### 7.1 Research outputs

The following table lists the projects research outputs.

Table 2. Research outputs from the Strengthening local agricultural innovation systems to

adapt to CC&CV in Tanzania and Malawi project

Type of output	Title of the output	Date completed
1. Workshop reports	1.1. Project inception report	Final report 2007
1. Workshop reports	1.2. Tanzania national stakeholders consultation report	Final report June
	1.2. Turizuria radional sulcitoracis consultation report	2011
	1.3. Malawi national consultation report	Final report
	1.4. Tanzania national learning stakeholders workshop	Final report
	1.5. Malawi national learning stakeholder learning	Tharreport
	report	
2. Consultation	2.1. National Consultation Group meeting report	July 2009
meetings	Tanzania (2009)	July 2009
nicetnigs	2.2. National Consultation Group meeting report-	September 2009
	Malawi (2009)	September 2009
	2.3. National Consultation Group meeting report 2-	October 2010
	Tanzania (2010)	October 2010
	2.4. National Consultation Group meeting report 2-	September 2010
	Malawi (2010)	September 2010
3. Research reports	3.1. Tanzania situational analysis report	Final March 2009
1	3.2. Tanzania synthesis situational analysis report	Final draft June 11
	3.3. Malawi situational analysis report	Final June 2011
	3.4. Malawi synthesis situational analysis report	Final Draft June
		11
	3.5. Tanzania stakeholders consultation report	Final draft June 11
	3.6. Malawi stakeholders consultation report	Final draft June 11
	3.6. Tanzania learning visit report, central zone 1	October 2009
	3.7. Tanzania learning visit report, central zone	October 2010
	3.8. Tanzania learning visit report , southern zone	October 2010
	(2010)	
	3.9. Tanzania learning visit report, Central Zone (2011)	Draft June 2011
	3.10. Tanzania learning visit report, SH Zone (2011)	Draft July 2011
	3.11. Malawi learning visit report 1	October 2009
	3.12. Malawi learning visit report 2	October 2010
	3.13. Malawi learning visit report 3 (2011)	Draft July 2011
	3.14. Tanzania literature review report	Final June 2011
	3.15. Malawi literature review report	Final June 2011
	3.16. Swahili summary versions of situational analysis	Final 2008
	reports (for each of the 8 villages in Tanzania)	
	3.17 Tumbuka, Chichewa and English summary	Final 2008
	versions of situational analysis reports (for the 8	
	villages in Malawi)	
4. Masters dissertations	4.1 Mary A. Lema: Impacts of climate change,	September 2008
	variability and adaptation strategies on agriculture in	r
	semi arid areas of Tanzania: The Case of Manyoni	
	1	1

T		
	District in Singida Region: University of Dar es Salaam.	
	4.2 Mongi, Hector. Vulnerability Assessment of Rainfed Agriculture to Climate Change and Variability	September 2009
	in Semi-arid Tanzania: University of Dar es salaam.  4.3. Msawa Failo: Impacts of Agricultural production strategies on community livelihoods under changing climate in semi arid areas of Tanzania: The Case of	September 2010
	Iramba District in Singida Region: University of Dar es salaam.	
5. Journal papers	5.1. Lema, M and Majule, A.E. (2009). Impacts of Climate Change, Variability and Adaptation Strategies on Agriculture in Semi Arid Areas of Tanzania: <i>The Case of Manyoni District in Singida Region, Tanzania</i> . African Journal of Environmental Science and Technology, 3(8): 206-218.	September 2009
	5.2. Mongi, H., Majule A.E and Lyimo, J. (2010). Vulnerability Assessment of Rainfed Agricultural to Climate change in Tanzania	September 2010
6.0 Chapters in workshop proceedings	6.1. Majule, A.E., Ngongondo, C., Kallanda Sabola, M., Lamboll, R., Stathers, T., Liwenga, E and O.J Ngana. (2008). Strengthening local Agricultural Innovation Systems in Less and More Favoured Areas of Tanzania and Malawi to Adapt to Climate Change and Variability: Perceptions. Impacts, vulnerability and adaptation. In Food and Energy Crisis: Contributions and challenges for Agricultural and Climate Change Research. Proceedings of the Third Annual PANTIL Research Workshop Held in Dodoma, 7-9 October, 2008. ISBN: 9987-640-29-X 6.2. Majule, A.E., Stathers, T., Lambol, R., Liwenga, E., Ngongondo, C., Nsemwa, L., Swai, E and Gwambene,	Forthcoming chapter in
	B (2011). Exploring opportunities for enhancing capacities of individuals, institutions and political domains to adapt to climate change in agricultural sector: A case of Tanzania and Malawi. A paper presented to the AfricaAdapt Workshop held in Addis Ababa,	workshop proceeding 2011
7. Project fliers/posters	7.1 Project flyer developed, and translated into Swahili, Tumbuka, Chichewa as well as English	February 2008
	7.2 Updated project flyer 7.3 Updated project flyer 7.4 Updated project poster	November 2008 November 2010 June 2011
8. Other documents etc	8.1 Training manual on climate change and crop management, Tanzania 8.2 Training manual on compost making, Tanzania 8.2 Project Profile	June 2011
9. Documentary video	<ul> <li>8.3 Project Website http://www.ccaa-agrictama.or.tz</li> <li>9.1 Climate change witness (WWF), Tanzania</li> <li>9.2 Central Zone, Singida and Dodoma climate change adaptation and training on participatory video</li> <li>9.3 Southern Highland Zone, learning activities</li> </ul>	
	7.0 Council Inginala Zone, leaning activities	

#### 7.2. Capacity building

A total of 10 project team members were trained on Participatory Monitoring and Evaluation. This also included 6 non project members from project sites at district level in Tanzania. Different short course training as part of capacity building was also attended as indicated below;

- 480 farmers trained on CC science during village CC awareness seminars in Malawi and Tanzania
- 120farmers trained on soil and water management techniques
- 300 farmers trained on improved agronomic practices eg row planting, spacing, weeding, use of improved seed
- 2 members attended a short course on climate risk management in Addis Ababa in 2007
- 4 members attended outcome mapping training in Egypt Cairo in 2008
- 3 project members attended a short course on climate change and gender analysis in Dakar, Senegal in 2009
- 2 project team members (Prof Amos Majule and Mr Cosmo Ngongondo) attended a one week training on participatory action research process in Nazareth, Ethiopia in
- As part of capacity building of the research team, Mr Nsemwa attended the gender mainstreaming workshop which was organised by IDRC in Kampala, Uganda in the last week of January in 2009.
- Mr Lebai Nsemwa, Elirehema Swai, Ms Tumbo Madaka, Mr Ziwa, Ms Edith Mbua attended a one week training on participatory video in Central Tanzania, Dodoma region
- Prof Amos Majule, Mr Elirehema Swai and Mr Greno Wanda attended a one week training workshop on Improving Accessibility and Usability of Seasonal Forecast for Food Security in Africa from 8-12 March 2010.
- Prof Amos Majule and Prof James Ngana were enrolled as Word Bank Institute to offer short courses training on Managing Water for Agriculture and the first training course was held in Nairobi, Kenya at AICAD center from 9-13 March, 2010. A presentation for the project is included as an attachment with this report.

A total of 3 Master students in Natural Resource Assessment and Management at the University of Dar es Salaam were trained and supervised in doing their Dissertations along the subject matter (see Table 2). In Malawi, I Master student is yet to complete her studies along the subject matter. At community level a total of 480 farmers who participated in the project were trained on climate change science at different occasions in 2010 and 2011 in Tanzania. This training also covered adaptation strategies particularly on soil and water management strategies including tillage.

With regards to milestones in capacity development of the teams, there has been an increased knowledge on understanding climate change issues and members are able to acquire and implement more training and climate related research. Few examples include increases capacity to teach a climate change course in particular RM 608 on climate change, receive grants to implement projects Exploring Urban-rural Interdependence and the Impacts of Climate Change in Tanzania and Malawi, Strengthened Capacity of Agricultural Sector to Adapt to Climate Change in Africa funded by Rockefeller Foundation (2011-2012) lead by a team leader, Prof Majule, another project that currently received funds from East African Community through Lake Victoria Program was as result of increased skill in writing proposals. Capacity to institutions such as IRA and NAREC has also been developing. For example hosting of large regional programs on climate change such as African Climate Change Fellowship Program (ACCFP) by IRA of the University of Dar es Salaam, capacity of NAREC and INNADES to plan and implement a projects on climate change adaptation. Regarding networking, the project has been able to strengthen new work from north (Europe) to south (Africa) and also across countries (Tanzania and Malawi). At regional level in 2009 the project established a network with other SADC countries in particular Botswana and South Africa through a grant from ICART-SADC which supported regional conference. This networking conference on climate change adaptation was in Tanzania at Kunduchi Beach Hotel in August 2009. A book will be published in 2011 as a product. This network established still exists. Networks have also been built among farming communities in learning villages. Those groups which are being formed as a result of the project

particularly in Laikalala and Ulemo villages in Tanzania are more sustainable due to their own willingness to strengthen their capacity to adapt to climate change and variability within agriculture.

#### 7.3 Media, Policy and Outreach Outputs

The project outputs cited below cover policy and media reports and events.

#### Project National Consultation Groups (NCG) in Malawi and Tanzania

National Consultation Groups (NCGs) were set up in both Malawi and Tanzania as a platform for project team members to interact with national level policy makers and influencers. The role of the NCG was to promote, support and monitor the project process in an advisory capacity so that the prevailing national challenges and policies (especially at the interface between NAPA priorities and food security) were integrated and placed side by side with project goals and activities. They were also to assist the project in pushing climate change adaptation onto the political agenda, and to lobby for further funding from within and outside the country to build on the project and take forward climate change adaptation research in the agricultural/ rural sector. The NCGs were to be composed of influential figures from public and private spheres, at national and District level, whose perceptions of issues, causes, implications and solutions can play a pivotal role in public service, commercial and policy decision making. The proposed and actual composition of the Tanzanian and Malawian NCGs is shown in Tables 3 and 4.

The CCAA funded Research to Policy for Adaptation (RPA) project viewed the NCGs as novel and creative tools, which recognize how important parts of policymaking often taken part outside the formal processes. In both countries in addition to communication between the project leaders and the individual members of the NCGs, there were also two workshops organized to share project findings with and update the NCG members and for the project team to learn about what the information needs of the NCG members were regards the project and how best these could be met.

Table 3. Composition of the Tanzanian project National Consultation Group (NCG)

	Representative from	Name and contact details
1	Vice presidents office (Division of	Freddy K. Manyika, Senior Forest Officer
	Environment)	VPO - Environment, Box 5380, Dar es Salaam
		Tel: 0713426060 E-mail: <a href="mailto:freddy_manyika@yahoo.com">freddy_manyika@yahoo.com</a>
2	Ministry of Agriculture, Food	Mr Cleophas G. Tibanyenda, Agro meteorologist,
	Security and Cooperatives (Food	Ministry of Agriculture, Box 9193, Dar es Salaam
	Security Department)	Tel: 0754373718 E-mail: ctibanyenda@yahoo.com
3	Tanzania Meteorological Agency	Dr Emmanuel J. Mpeta, Meteorologist,
	(TMA)	Tanzania Meteorological Agency, Box 3056, Dar es Salaam
		Tel: 0784645337 E-mail: empeta@meteo.go.tz
4	Research on Poverty Alleviation	Ms Rehema Tukai,
	(REPOA)	Research on Poverty Alleviation (REPOA), Tanzania.
		E-mail: <u>rtukai@repoa.tz.org</u>
5	Donor representative	Representative from Department for International
		Development
6	Political champion on environment	MP for East African Community,
		Hon Catherine Kamba. E-mail: <u>katekamba@yahoo.com</u>
7	Local NGO	Mrs Asia Kapande, Tanzania Nile Discourse Forum
		E-mail: <u>asiakapande@yahoo.com</u>
8	International NGO	Mr Dosteus Lopa, Program Manager,
		Care International (T), Box 289 Morogoro
		Tel: 0784542039 E-mail: dosteus.lopa@co.care.org
9	Media community	Mr Albert Msemembo, Media,
		Dar es Salaam
		Tel: 0713 321444 E-mail: msemembo@gmail.com
10	Journalist Association of Tanzania	Ms Mari Edward, Media
		ITV, Dodoma
		Tel: 0715 677553 E-mail: wating@yahoo.co.uk

Table 4. Composition of the Malawian project National Consultation Group (NCG)

141	Representative from	Name and contact details
1	Environmental Affairs Department	Mrs Shamiso Najira
	(EAD)	Environmental Affairs, P/bag 394Lilongwe,
	,	Shamiso-b@yahoo.com
2	Ministry of Agriculture and Food	Representative
	Security	Mr Mathews Manda, Box 30291, Lilongwe 3
	•	imprstadmin@fidpmw.org
3	Department of Climate Change and	Deputy Director
	Meteorological Services	Mr Gray Munthali
		gmunthali@metmalawi.com +265999 912 643
4	Parliamentary Committee on	Chairperson
	Agriculture and Natural Resources	Hon. Dr Allan Chiyembekeza, Box 2, Makwasa.
		allanchiyembekeza@yahoo.com +265888 388 277
5	Local NGO	Evangelical Association of Malawi (EAM)
		Mr Bryer Mlowoka, EAM, Boc 30296, Lilongwe 3
	_	bmlowoka@yahoo.co.uk
6	_	CEPA
		Mr William Chadza, CEPA, Box 1057, Blantyre
		William@cepa.org.mw 0999 511 188
7	International NGO	CARE
		Mr Chrispin Magombo
8	Media community	Mr Nkolokosa representing Malawi Broadcasting
		Corporation (TV and Radio)
9	Ministry of Irrigation	Mrs Laurencia M Mzamu
		Irrigation Dept, Lilongwe
		<u>laurenciamzamu@ymail.com</u> 0888 797 778
10	Seed company	President of Seed Traders Association in Malawi
	occur company	
	cool company	Mr D. W. Phiri, SEEDCO Malawi, P/bag 421 LL4
	• •	Mr D. W. Phiri, SEEDCO Malawi, P/bag 421 LL4 <a href="mailto:dphiri@seedco.malawi.net">dphiri@seedco.malawi.net</a> 0999 964 424
12	Malawi Environment Endowment	<u>dphiri@seedco.malawi.net</u> 0999 964 424  Ms Karen Price
	• •	dphiri@seedco.malawi.net 0999 964 424

Note: Representatives from DFID, Technical Committee on the Environment, Ministry of Development, Planning and Corporation, and Farmers Union have been invited to the NCG but have yet to attend.

#### Media and other outreach outputs

Newspaper, newsletter and website articles - in chronological order

Nyirenda, D. (2008). Research seeks solutions to climate change. *Daily Times Newspaper*, Malawi. 3 July 2008.

Nyirenda, D. (2008). Combating climate change. Daily Times Newspaper, Malawi. 10 July 2008. p26.

Sekeleza, K.C., (2008). Whither agriculture amidst climate change? *Nation Newspaper: Agriculture Supplement*, Malawi. 28 August 2008. p15.

Mithi, D., (2008). Changing Climate increases hardship for Africa's mothers. Women'sNet, 15 October 2008. [Quotation of projects papers presented by Ms Miriam Joshua and Dr Majule at the 2<sup>nd</sup> International Conference on Climate Change Mainstreaming organized by ANAFE, in

- Lilongwe, 28 July -2 Aug 2008]. <a href="http://www.womensnet.org.za/news/changing-climate-increases-hardship-africa">http://www.womensnet.org.za/news/changing-climate-increases-hardship-africa</a>% E2% 80% 99s-mothers
- Mithi, D., (2008). Climate Change Taxes African Women. 6<sup>th</sup> African Development Forum, ADF Today, 20 November 2008. [Quotation of projects papers presented by Ms Miriam Joshua and Dr Majule at the 2<sup>nd</sup> International Conference on Climate Change Mainstreaming organized by ANAFE, in Lilongwe, 28 July -2 Aug 2008].
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### 8.0 Project outcomes

Our project had seven main anticipated outcomes (see Project Schedule in section 6). The first part of this section is organized according to these outcomes and their associated objectives. The second part sets out outcomes (consequences) in terms of changes in scientific knowledge or innovation; changes in behaviour of boundary partners; policy influence, technology development, adaptation and adoption and finally any changes in socio-economic or environmental conditions.

#### 8.1. Achievements against the project's anticipated seven outcomes

Objective 1. To strengthen farmers' capacity to access and use quality information, training and products in order to adapt to climate change and climate variability

i) Improving farmers and other stakeholders in agriculture understanding of their own and other key actors' situations and strategies relating to CC & V

Interventions aiming to strengthen farmers' capacity are more likely to succeed if key decision makers understand farmers and other AIS actors' situations and strategies. We used a Learning Alliance approach (see Figure 1) aiming to improve the awareness and understanding of our boundary partners by creating platforms and opportunities for interaction, experiential and shared learning at various levels (community, sub-national, national, inter-country). Examples of the main structured activities facilitating interaction between boundary partners are shown in Table 11. Our main sources of information to assess changes in understanding are Learning Visit reports and Workshop reports.

Small-scale farmers – farmers in the project's learning groups appear to have a more conscious understanding of their own strategies relating to CC and CV. This has been through farmers brainstorming and sharing their experiences of and strategies for adapting to CC and CV in Situation Analysis and subsequent fora and exchange of information between farmers from the different project sites in the 2008 and 2010 stakeholder workshops, and through the showing of Participatory Video clips. Other farmers in the same villages and in other villages in the same districts are copying from learning group farmers' activities and results.

In Tanzania, Farmer Learning Group (FLG) members have a better understanding of researchers' strategies for adapting to CC and CV. In semi-arid Central Zone, farmers learnt how to lay out experimental plots and participated in researcher-farmer designed experiments on: soil and water management (eg manure application, tillage), agronomic practices (eg spacing, thinning); short duration drought tolerant varieties of sorghum, maize, sunflower and sweet potato (including orange fleshed) and a new crop lablab bean. In the higher rainfall Southern Highlands Zone Tanzania (SH Tz), farmers learnt how to lay out experimental plots and participated in researcher-farmer designed experiments to improve farmers access to and management of agrobiodiversity. Crops and varieties varied with agro-ecology both between and within villages and included: disease resistant varieties (eg maize, beans, banana, wheat) and new crops (eg avocado, banana) due to perceived increased temperature in recent years and water melon, soya and onions in response to market opportunities. In Central Zone, farmers have learnt about Quality Declared Seed (QDS) seed which involves training on the principles of seed production in order to produce seed intended for sale to neighbouring farmers. Also in Central Zone, the Manyoni district extension service is aiming to improve farmers access to seasonal forecasts through disseminating information at ward/village and sub-village level eg on notice boards and through church groups and village assembly meetings.

In Malawi, FLG members have a better understanding of researchers' strategies for adapting to CC and CV. These include soil and water management techniques (eg sunken beds, no till and use of herbicides, tie ridging), mapambano compost manure from INADES Tz and originally from farmers in Kondoa district, Central Zone Tz; improved irrigation techniques on vegetables and maize; early maturing, high yielding maize varieties from research and seed companies; early maturing pigeon pea, high yielding and early maturing sorghum, sweet potato (including orange fleshed), beans & groundnuts, early maturing and disease free cassava, grafting of fruit trees; FLG members have stated the importance of working with a multi-stakeholder group (eg NGOs, researchers, extension) in order to adapt to climate change.

**Public extension** – extension officers are generally able to articulate an understanding of recommended technologies being used by FLGs and their link with CC and CV. However, we don't yet have information from extension officers on how they assess their changed understanding of farmers' own local/ indigenous strategies. Some extension officers have replicated the learning plot approach elsewhere while others have disseminated technologies to other farmers within and outside their districts. Many district level HQ staff have been transferred making participatory assessment of change with individuals and continuity challenging. However, most village level staff are still in place.

NGOs- The understanding gained of other actors' situation and strategies has created opportunities for some NGOs working with the project to replicate the learning plot approach in some cases and promote the technologies with other farmers. For example, Sanjaranda Bible College (Central Tanzania) has sourced money from IAS, and EAM of Malawi has support from the Irish government. In the case of INADES TZ, CC knowledge acquired has added value to existing knowledge of farmers context and strategies. INADES has subsequently participated in and contributed to a range of CC related activities at local, national and regional levels. FIPS AFRICA's understanding of farmer context and strategies has contributed to the development of their Irish aid project in Central Zone of Tanzania.

**Stockists** - In Malawi the STAM representative (from the company SEEDCO is on the NCG). Following participation in NCG meetings and stakeholder meetings SEEDCO has donated short duration maize hybrid seed for experiments on learning plots in Malawi. Small scale stockists sold seed and fertilizer commercially for learning plots in Southern Highlands, but otherwise stockists have not participated in the project since the consultation survey in Tanzania. Krishna Company (based in Manyara region) sold seed at a subsidized price. Minjingu Company in Arusha provided Minjingu mazao fertilizer (manufactured in Arusha) for learning plots in Central Zone in the first year.

Researchers -Researchers in the project team are better able to articulate and have secured further projects through improved knowledge of other stakeholders' situation and strategies regarding CC and CV. Projects include: the 'Exploring Urban-Rural interdependence and the impact of climate change in Tanzania and Malawi' CCAA Urban programme funded project, a SADC funded project on Strengthening local agricultural innovations to adapt to climate change through networking in Tanzania, Botswana and Malawi, Rockerfeller project on Strengthening Capacity of Agriculture Sector to Adapt to Climate Change Impacts in Africa, East African Community Lake Victoria Environmental Programme supporting a project on "Improving Agricultural Productivity in Response to Climate Change by Introduction of Alternative Crops and Innovative Technologies suitable for the Lake Victoria Basin". In some cases, climate change knowledge acquired has added value to existing knowledge of farmers context and strategies. Researchers have played the role of stockists in some cases, particularly for non-maize crops. Researchers made available some pre-release material which they thought would do well in a particular locality.

**Media -** In Malawi, the TVM climate change desk officer has been to the project field sites four times which created an opportunity to improve their understanding of farmers and researchers' situation and strategies (eg two interviews with the Malawi project leader incorporated into TV programmes). In Tanzania, a visit by a journalist to Chibelela village, Central Zone led to an article on the importance of the spring jembe (deep tillage) in Nipashe newspaper. Information provided by the project leader to BBC London on CC in Tanzania contributed to a report on Climate Change produced by the BBC. The project leader shared information through interviews with TBC radio and TV on CC day.

Met office – in Malawi the project facilitated visits by the Met office to districts and villages and the Met Office has provided seminars on CC to FLGs in all participating villages. The Met office has recognized the need to make information available at local level in local languages and the need to get feedback from users. In Tanzania, the project facilitated training by the Met office to farmers in workshops and weather stations were established in all 8 villages in Tanzania following met office advice. The Met Office is now interested and willing to use the weather data collected by the FLGs. In Central Zone, the Laikala VAEO takes data from FLG met station to district HQ, data is entered on a met office form. The station is not yet registered with the Met Office, but efforts are underway to register all the FLG/ village met stations with Tanzania Met Authority (TMA).

# ii) Identification and sharing of information, training and product demands for strengthening CC & CV adaptation strategies of different farmers

Information, training and product demands for different boundary partners were identified. Tables 5a-5b summarise the information, training and product demands and ideas for how to respond to them in order to strengthen CC & CV adaptation strategies of farmers, district extension and NGOs in the central and S. Highlands of Tanzania. These were identified through the Situation Analysis and Stakeholder consultation survey, further developed and shared in the national stakeholder workshop and then converted into themes in the Kigamboni planning workshop mainly by the project team in 2008. The themes were further refined in zonal meetings in Tanzania and Malawi. The outcomes are summarised in Table 6a and b below. A large number of needs and challenges were identified and these were then reduced to a smaller number of planned action research themes. Ultimately, however, the action research focused on the Farmer Learning Groups working primarily with Ministry researchers and district extensionists. The implemented research themes in Tanzania were: Improved access to climate and weather information and policy (CZ and SH); Improved soil and water management (CZ); Improving access to and management of crop varieties (CZ); Improved access to and management of agro-biodiversity (SH). In Malawi themes were very similar: Improved access to climate and weather information and policy; Crop and livelihood diversification; and Improved soil and water management.

Table 5. Summary of boundary partners' needs and emerging action research themes to strengthen capacity to adapt to CC and V.

a) Tanzania

a) Tanzania	Diamed action research themes	luandamante d 4!
Needs identified	Planned action research themes	Implemented action research
Farmers  - Soil fertility and soil water management  - Access to appropriate and local language agricultural related information – climate, weather and markets  - Promote local ownership of land use planning  - Access to affordable credit facilities  - Awareness on CC  - Appropriate inputs  - Oxen implements  - Entrepreneurship skills  - Access to markets  - Household food security – storage	Improved access to climate and weather information and policy (CZ and SH)     Improved soil and water management (CZ)     Improving access to and management of crop varieties (CZ)     Improving access to and management of agro-biodiversity (SH)	- Improved access to climate and weather information and policy (CZ and SH)  - Improved soil and water management (CZ)  - Improving access to and management of crop varieties (CZ)  - Improving access to and management of
District Extension  - Soil water conservation  - Information and markets  - Understanding of CC issues  NGOs  - CC capacity building	Soil and Water management capacity to adapt to climate change and variability (SH)     Increased access and capacity to interpret scientific and indigenous meteorological data (CZ)      Improving livelihood diversification options through enhancing access to market and entrepreneurship skills information (SH)     Identification of successful adaptation initiatives to CC & CV, validation and promotion of these with other stakeholders (CZ)	agro-biodiversity (SH)
Stockists  - Understanding of CC issues  - Understanding and first hand experience of the impacts of CC on crop choice and management in order to provide relevant products and information in time and space in response to CC&V challenges and opportunities.	Appropriate input, type and pack size (SH)     Meeting appropriate input demands (CZ)	
Researchers  - Understanding of action research  - Understanding of impacts of CC on farmers in different agro-ecological zones  - Awareness of CC adaptation strategies being practiced elsewhere  - Awareness of climatic projections for different agro-ecological zones	Adequate research capacity to respond to research demands on CC&V related to agricultural innovation systems	
NCG  - Understanding of impacts of CC on farmers and other stakeholders  - Understanding of farmers and others stakeholders strategies for adapting to CC&CV  - First hand experience of the multi-stakeholder learning happening in the project sites in relation to CC&CV adaptation	Being a corridor for grass roots information and experiences in adapting to CC &CV to reach policy makers	
Met Office  — Understanding of farmers and other stakeholders needs regards weather information and projections	— No theme originally identified	

Crop and livelihood diversification How to select proper crops to improve household food security and income in a changing climate      Crop and livelihood diversification: How to select proper crops to improve household food security and income in a changing climate	Crop and livelihood diversification     Improved soil and water management     Improved access to climate and weather information and policy
select proper crops to improve household food security and income in a changing	
Crop diversification and natural resources management: How will natural resource management contribute to crop productivity in a changing climate	
<ul> <li>Development of cultivars suitable in a varying climate.</li> <li>Provision of suitable planting materials.</li> <li>How to improve stockists capacity to response to farmers needs and liase with suppliers and research institutions in improving adaptive capacity to challenge</li> <li>Development of cultivars suitable in a varying climate</li> <li>Provision of suitable planting materials.</li> <li>How will agricultural research contribute to crop productivity in a changing climate</li> </ul>	
<ul> <li>No theme originally identified</li> </ul>	
	suppliers and research institutions in improving adaptive capacity to challenge  — Development of cultivars suitable in a varying climate  — Provision of suitable planting materials.  — How will agricultural research contribute to crop productivity in a changing climate

Table 6a. Central Zone and S. Highlands Tanzania: <u>FARMERS</u> Information Training and Product Needs and how to respond to them

Theme	s and now to respond to them    Details
Soil fertility and soil	Current impact of existing technologies on soil water conservation and soil fertility CZ. SH
water management	Training on soil water management CZ
	Understanding visual symptoms of nutrient deficiency in key crops CZ
	Training modules CZ
	Take home appropriate reference material CZ
	Leaflet eg maize doctor, sorghum doctor CZ
	Information on types, pack sizes, impacts of fertilizers SH
	Soil and water/soil fertility conservation practices
	Good agricultural husbandry practices SH
Access to	Weather data CZ
appropriate and	Market information (price, quality, demand) CZ SH
local language	Adaptation and coping strategies to CC & CV CZ
agricultural related information	- CC & CV subject issues CZ
Information	Exchange visits between farmers facing similar CC issues CZ SH
	Training on systematic weather data recording CZ SH
	<ul> <li>Rain gauges CZ</li> </ul>
	<ul> <li>Information materials CZ</li> </ul>
Promote local	Village land act and by-laws flyer (Swahili) CZ SH
ownership of land	Undertake participatory land use planning CZ SH
use planning	Training on current land reform act 1999 and land title deeds CZ SH
Access to	Information on available local credit schemes CZ SH
affordable credit	Lending mechanisms and procedures CZ SH
facilities	Micro-finance and cooperative policy CZ SH
	Linking to training institution. CZ SH.
Awareness on CC	Identify existing local knowledge and scientific on climate issues. CZ SH
	Dissemination of current status on climate change CZ SH
	Training on current scientific knowledge on CC CZ
	Training on understanding and use of met forecast data CZ
	Training on climate data (weather data) collection and interpretation SH
	Analysed information on hydro met CZ
	Adaptation and coping strategies to CC & CV SH
	- CC & CV subject issues SH
	Awareness on National Adaptation Programme of Action SH
	Increase awareness on climate change: Causes and impacts –more focus on adaptation SH
Appropriate inpute	Linking scientific knowledge with -traditional knowledge on weather prediction/forecast SH
Appropriate inputs	Location and details about the QDS trained farmers CZ SH  This is a second of the control o
	Training on community seed production (quality, isolation distance, storage) CZ SH
	Training on participatory variety/ crop evaluation (ensure FFS experimentation/ analysis skills strengthened)
	<ul> <li>Mini packs of inputs to enable farmers to test CZ</li> <li>Facilitate enforced quality control of agricultural inputs CZ</li> </ul>
	Facilitate enforced quality control of agricultural inputs CZ     Knowledge on improved seeds SH
Oxen implements	Improved seeds SH     Understanding of why different tillage implements are appropriate CZ SH
Oxen implements	
Entrepreneurship	Farmer to farmer training on use of ox drawn implements CZ SH  Product/ market demand CZ SH
skills	Sensitisation on difference between subsistence and entrepreneurial activities CZ SH
	Business skills CZ SH
	Partial budget/ cost benefit analysis CZ SH
Access to markets	Information on acceptable quality standards and processes CZ SH
	Current commodity price CZ SH
	Training on value adding opportunities and standards for sunflower, sorghum etc CZ SH
Household food	Training on white adding apportanties and standards for samework, sorgram etc 52 on      Training on improved crop storage to help survive low yields in bad year CZ SH
security	Traditional and synthetic post-harvest protectants CZ
L	1

Pesticide safety, use and management SH
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CZ = Central Zone; SH = S. Highlands

Table 6b. Central Zone and S. Highlands Tanzania: <u>DISTRICT EXTENSION WORKERS</u> Information Training and Product Needs and how to respond to them

Theme	Details
Soil water	<ul> <li>Current impact of existing technologies on soil water conservation and soil fertility CZ</li> </ul>
conservation	<ul> <li>Training on construction of contours CZ</li> </ul>
	<ul> <li>Training on design of charco dams CZ</li> </ul>
	<ul> <li>Training materials (eg vertical intervals etc for contour) CZ</li> </ul>
	<ul> <li>Training materials on soil water conservation (eg use of pits CZ)</li> </ul>
Information	<ul> <li>Village information centre SH</li> </ul>
and markets	<ul> <li>Training on how to improve farmer's access to market information SH</li> </ul>
Understanding	<ul> <li>Relevant climate information and weather prediction CZ</li> </ul>
of CC issues	<ul> <li>Training on appropriate measures for CC &amp; CV CZ</li> </ul>
	<ul> <li>Installation of rain gauges CZ</li> </ul>
	<ul> <li>Relevant climate information and weather prediction SH</li> </ul>
	<ul> <li>Identification of appropriate information with regard to climate change and variability; SH</li> </ul>
	<ul> <li>For example; suitable land use practices</li> </ul>
	<ul> <li>Water harvesting technologies</li> </ul>
	<ul> <li>Environmental conservation</li> </ul>
	<ul> <li>Research information specific to the localities SH</li> </ul>
	<ul> <li>Entrepreneurship and value addition of agricultural products to enhance the competitiveness in the market SH</li> </ul>
	<ul> <li>Provide appropriate agricultural input /knowledge in line with climate change and variability.SH</li> </ul>
	<ul> <li>Training on appropriate measures for CC &amp; CV SH</li> </ul>
	<ul> <li>Adaptation to climate change and variability SH</li> </ul>
	<ul> <li>Onset and end of rain season (linking of traditional and scientific knowledge)SH</li> </ul>

CZ = Central Zone; SH = S. Highlands

Table 6c: Central Zone and S. Highlands Tanzania: <u>NGOs'</u> Information Training and Product Needs and how to respond to them

Product Needs and now to respond to them		
Theme	Details	
CC capacity	<ul> <li>Information on adaptation to CC &amp; CV CZ</li> </ul>	
building	<ul> <li>Information on NAPA CZ</li> </ul>	
	<ul> <li>Impact of agricultural technologies on environment CZ</li> </ul>	
	<ul> <li>Lack of forum for info exchange between stakeholders CZ</li> </ul>	
	<ul> <li>Identify case studies on successful adaptation to CC &amp;CV CZ</li> </ul>	
	<ul> <li>Early warning systems to help farmers prepare CZ</li> </ul>	
	<ul> <li>Gendered perspective on CC CZ</li> </ul>	
	<ul> <li>Training on CC CZ SH</li> </ul>	
	<ul> <li>Training on Advocacy CZ SH</li> </ul>	
	<ul> <li>Training on Communications CZ SH</li> </ul>	
	<ul> <li>Scientific details on effect of CC &amp; V on livelihoods CZ SH</li> </ul>	
	<ul> <li>Improved infrastructure &amp; input supplies and sharing research CZ</li> </ul>	
	<ul> <li>Alternative energy, solar, wind power CZ</li> </ul>	
	<ul> <li>Leaflets, guides CZ</li> </ul>	
	<ul> <li>Website on CC CZ</li> </ul>	
	<ul> <li>Linkage of local innovation with researchers to help objectively assess performance of different</li> </ul>	
	technologies for helping adapt to CC &CV CZ	
	<ul> <li>Documents on CC, NAPA, global perspectives CZ</li> </ul>	
	Climate change and means for adapting SH	
	Appropriate utilization of water resources SH	
	Water harvesting technologies SH	
07 - 0 - mtm - 1 7 -	Preparation and use of organic manure SH  Table 10 - Windows and use of organic manure SH  Table 20 - Windows and use of organic m	

CZ = Central Zone; SH = S. Highlands

iii) Enhancing farmers capacity to adapt to climate change/variability through improved access, understanding and decision making/analytical skills.

The project Situation Analyses identified farmers' perceptions of factors influencing their ability to adapt. These were both internal (ie individual, household or community strengths or assets) and external factors eg policies. Based on these factors an assessment may be made of how the project has contributed to strengthening farmers' adaptive capacity (see also Appendix 1).

Internal factors contributing to farmers' adaptive capacity which have been addressed include:

- *Crop diversity*. Farmers in the FLGs have access to a wider range of new crops and varieties and some of these are starting to become available beyond the FLGs
- Crop resilience (in terms of weather conditions). FLGs experimented with crops and varieties which offered more options for dealing with local weather conditions. The attributes needed varied with location but included: drought tolerance, longer and shorter maturity periods, disease tolerance (often associated with weather conditions), yield, suitability for markets.
- Access and ability to keep livestock. Livestock can act as a buffer against shorter term shocks and stress if they can sold to secure income. Although the project didn't deal directly with livestock, the Kapugi group accessed pigs from the Rungwe DALDO office because they were regarded as a well organized group.
- Ability to store food. The project has focused heavily on the field stage of crops as opposed of the
  post-harvest stages. However, use of new varieties resulted in the need for different crop storage
  practices eg improved varieties of sorghum suffered higher damage during storage than traditional
  varieties and thus required additional grain protection interventions. However no explicit focus on
  experiential learning regards different storage methods occurred, nor did any analysis of the farmer
  learning group member's ability to store food. This is an important future opportunity.
- Financial capital. The project didn't deal directly with financial capital issues, although it was a reoccurring theme during Learning Visits etc. An example of indirectly accessing finance was the Nyombo group in the SHTz who acquired a power tiller on a shared cost basis from the Njombe DALDO's office. The sister CCAA Urban-Rural linkages is starting to explore the relationship between accessing credit and adaptive capacity/ resilience. One option we explored in the first year was making seed available in small more affordable packs to try and address the issue of limited capital. This should be follow up in the future.
- *Natural capital*. The diversity of natural capital in a given location can make a significant contribution to adaptive capacity. For example in Kapugi village in S H Tz farmers are able to produce beans in three different seasons making use of both lowland valleys and uplands within their villages. In Malawi, access to land for dimba farming was mentioned as a strength. The project identified the need to improve farmers' knowledge of land law, rights and responsibilities but it was beyond our resources to address these issues droning the current project. Improved soil management (compost, manure, fertilizer experimentation) and water management allows farmers to make more sustainable use of natural capital.
- *Human capital*. Farmers in the FLGs have acquired various new knowledge and skills. These include: better understanding of weather, knowledge of managing (new) crops and ability to experiment and to adjust cropping practices and mixtures in relation to the season eg rain onset time or seasonal projections. Human capital education and experience from elsewhere, the project has strengthened farmers understanding of CC science and adaptation strategies being used by other groups, including how to experiment in order to learn about alternative strategies of potential use in a changing environment. Farmers and researchers have shared CC adaptation experience from elsewhere.
- *Social capital*. FLG members have benefitted through interaction within the group and other actors (see Table 11 showing interactions between stakeholders).
- Non-farm livelihoods were viewed as a strength but we didn't really explore this in this project.

**External factors** (including policies) influencing farmer's ability to adapt includes:

## Strengthening factors

- Government policies. The Situation Analysis identified some policies contributing towards strengthening farmers' capacity. These included: policies encouraging farmers to diversify crops, grow drought resistant crops/ varieties and plant trees. In Malawi, some farmers reported that the subsidy programme was a strengthening government policy. Farmers' demands and government policy are in harmony with respect to crop diversification and tree planting. However, actual support for crop diversification is relatively limited compared to support in the form of subsidies for maize in both countries. See polices section below for further discussion.
- Financial capital. In the Situation Analysis some farmers reported that government policy supporting the creation of savings and credit groups (eg SACCOS) was improving farmers' access to credit. However, setting up such a group is beyond many farmers and alternative forms of credit (eg Vikoba village community banks for internal savings and lending) appear to offer opportunities to a wider range of farmers.
- Access to service providers training in use of early maturing seed etc, the project has supported three years of multi-stakeholder learning processes to develop novel ways for stakeholders to interact, and better understand each others knowledge sets and the opportunities for combining them.
- *Technology*. Technology can play a key role in increasing adaptive capacity. Farmers provided the example of mobile phones which can, for example, reduce the need for and costs of travel.

#### Weakening factors

- Government policies. Some policies were perceived by farmers to be weakening their capacity. Two examples cited were free market approaches increasing the costs of inputs (SH Tz) and education for all children putting extra pressure on household food security (Central Zone Tz). Another example described in Malawi was that of national parks taking all their fertile land and leaving them with depleted fields (Chikwawa district).
- *Natural resource conflict*. Conflict over land, water etc can be a major source of vulnerability. An example given was neighbouring villagers allowing their cattle to feed on another village's pasture. The impact of climate change, climate change responses (eg use of biofuels) and increasing population pressure increase the likelihood of future conflict.
- *Corruption*. Farmers made the point that corruption weakens their capacity to adapt. An example given during a women's focal group discussion was the need to pay bribes in order to access credit eg Kikwete funds.
- *Poor service provision*. In Malawi farmers explained that late distribution of the subsidy coupons and lack of access to inputs (due to their high cost and lack of credit opportunities) weakened their capacity.
- *Market uncertainty*. Fluctuations in market prices, input costs, and lack of market outlet opportunities all weaken their adaptive capacity.

During the September 2010 NCG meeting a senior Tanzanian Ministry of Agriculture representative emphasized that government policy is intended to be 'bottom up'. This implies that initiatives are needed which strengthen the voice of local actors at village, ward and district levels. Participatory video has provided one new means of strengthening the voice of local actors, particularly at community level.

Objective 2. To strengthen the capacity of private and public sector stakeholders to make agricultural innovation systems work more efficiently, equitably and responsively to climate change and climate variability

iv) Assessment of public and private sector service provision processes related to adapting to climate change/variability

The Stakeholder consultation surveys indentified that most Agricultural Innovation System (AIS) stakeholders considered climate change and climate variability to be important or very important. However, almost no stakeholders consulted were aware of their respective country's NAPAs, let alone its content. At the individual level, very few of the stakeholders consulted had much knowledge of climate change issues, but frequently expressed a desire to improve their understanding. Stakeholders' information, training and product needs were identified (see Table 7 below for Tanzania)

Table 7: Tanzanian AIS stakeholders. Information, training and products which would enhance their capacity to adapt to CC&V?

Mbeya	Iringa	Dodoma	Singida	National	
Information on					
<ul> <li>Sensitization on climate change and variability,</li> <li>Weather forecasting,</li> <li>Relevant CC&amp;V info, farming practices and weather prediction information through media eg radio, phone, newspapers</li> </ul>	Relevant climate, weather prediction information	<ul> <li>On adaptation to CC&amp;CV, NAPA, improved agricultural production and marketing (Trade Fairs)</li> <li>Relevant Climate weather prediction information,</li> <li>Strengthen network, a network with other related research institutes in the central zones and Extension Liaison Unit (ZIELU), Crop breeders, socio economists, crop processors.</li> <li>Information on suitable crops for the area, market information in the region and outside</li> </ul>	<ul> <li>how others managed to adapt to CC&amp;V</li> <li>Relevant Climate weather prediction information</li> <li>Improved agricultural livestock production and environmental conservation to help in adaptation to CC&amp;V</li> </ul>	<ul> <li>Information:         On the effect         of climate         change on         wildlife.</li> <li>Development         of website for         information         sharing</li> <li>Network for         information         sharing</li> <li>Relevant         Climate,         weather         prediction         information</li> <li>Market         information</li> </ul>	
Training					
<ul> <li>Appropriate measures for CC&amp;V, environmental issues and economic activities</li> <li>Appropriate use of agricultural inputs measures for CC&amp;V</li> <li>Appropriate measures for CC&amp;V and agricultural production</li> <li>Appropriate measures for CC&amp;V and agricultural production</li> <li>Appropriate measures for CC&amp;V and data management</li> </ul>	<ul> <li>Training on appropriate measures for climate change and variability</li> <li>Training on entrepreneur ship, good agricultural practices, gender and development</li> </ul>	<ul> <li>Climate change, advocacy, communications, improved agriculture and appropriate measures for CC&amp;V</li> <li>Training manpower, staff training (Capacity in TOT). Involved in training on appropriate measures for CC&amp;V</li> <li>Training on manpower, staff in general, administration, accountancy, and marketing and on appropriate measures for adaptation to CC&amp;V.</li> </ul>	<ul> <li>Training on improved agriculture and appropriate measures for CC&amp;V</li> <li>Training on appropriate measures for CC&amp;V</li> <li>Training on appropriate measures for CC&amp;V and type of crops to be grown.</li> <li>Training on appropriate measures for CC&amp;V and entrepreneurship.</li> </ul>	<ul> <li>Involved in Training on CC&amp;V</li> <li>Training persons on appropriate measures for CC&amp;V</li> <li>Integration of adaptation measures in development plans</li> </ul>	
Products		·			
<ul> <li>Tubes for planting tree seedling nurseries</li> <li>Improved seeds</li> <li>Transport facilities such as motor cycles</li> <li>Agricultural inputs should be in small packages such as 5, 10, 20 (kgs) so that every farmer can afford</li> <li>Meteorological stations</li> <li>Power tiller</li> <li>Capital</li> <li>Communication facilities</li> </ul>	<ul> <li>Agricultural inputs should be in small packages e.g. 5, 10, 20 (kgs) so that every farmer can afford</li> <li>Provide farmers with alternative seed crop to suit the current climate</li> </ul>	<ul> <li>Improve infrastructure and input supplies</li> <li>Sharing research findings, website, communication, tools, fliers etc, documents on Climate change, NAPA, global perspectives</li> <li>SACCOS building and ICT for Networking with farmers and businessmen</li> </ul>	<ul> <li>Improve infrastructure and input supplies</li> <li>Improve supply of inputs and implements and packaging of agricultural inputs should be in small 5, 10, 20 (kgs) lots so that every farmer can afford</li> <li>Improved seeds</li> <li>Rain gauge for rainfall measurement in the villages</li> <li>Improve agricultural inputs and implements</li> </ul>	<ul> <li>Infrastructure and tools to control quelea bird pests</li> <li>Financial support</li> <li>Meteorological instruments and communication facilities</li> <li>Provide farm inputs eg fertilizer</li> </ul>	

Source: Stakeholder Consultation survey

A participatory analysis of the stakeholder consultation survey results through national stakeholder workshops and other fora led to the identification of outcome challenges which reflect how the project assessed different boundary partners needs to change in order to adapt to climate change and climate variability (see Table 8 below).

Table 8: Boundary partners and associated outcome challenges

Boundary	Outcome challenge
<b>partner</b> Farmers	The project intends to see farmers are
raimeis	
	<ul> <li>Diversifying crops to increase yields and income, using appropriate soil and water conservation techniques.</li> </ul>
	<ul> <li>Using appropriate crop storage, reducing crop loss and selling at appropriate time when prices are reasonable.</li> </ul>
	<ul> <li>Accessing and experiment appropriate innovations such as small pack of improved seeds and appropriate fertilizers. Accessing, sharing and using meteorological, adaptation and marketing information.</li> </ul>
	<ul> <li>Networking with input suppliers, NGOs, researchers and extension in experimenting, disseminating and sharing new information and agricultural technologies.</li> </ul>
	<ul> <li>Making use of local and technical knowledge in establishing multi-purpose wood lots and other agro- forestry practices, and construct post-harvest storage structures to ensuring food security.</li> </ul>
District	The project intends to see that extension is:
extension	- Training and supporting farmers through established Farmer Field Schools and organising them into groups so as to help them plan and access information and products that are appropriate in response to changing climate.
	<ul> <li>Actively networking with and sourcing and accessing information from internet, researchers, met</li> </ul>
	services, farmers and other extension staff about agricultural adaptation strategies and then translating
	and sharing this information with farmers.
	- Documenting major climate events in their localities and their impacts on the communities' livelihoods
NGOs	The project intends to see NGOs:
NGOS	Recognizing the importance of climate change and variability issues valuing local knowledge,
	providing advisory services, sharing information and experiences, incorporating new knowledge and ideas on CC&V into their capacity building and related activities.
	— In doing this they will be working more closely with other actors in addressing CC&V issues;
	participating in local forums, undertaking joint activities and forming new linkages where relevant
Input	The project intends to see input suppliers/stockists:
suppliers	Stocking appropriate inputs in terms of type, pack size, price and suitability to the localities, and within reach of most small holder farmers.
	These stockists are exchanging information on their products with each other and farmers, and
	monitoring their performance while getting feedback on their performance.
Researcher	The project would like to see researchers:
s	Developing and testing new and improved technologies and disseminating research results.
	Networking and sharing research results with other researchers in consortium.
Met	The project intend to see meteorological services:
service	- Undertaking training to teach farmers, collect met data in using it in their farm activities in order help
	farmers adapt to CC and V;
	– Issuing or disseminating timely and reliable and seasonal forecasts to farmers. Furthermore, met
	services should coordinate installation of met instruments at village sites.
Media	The project intends to see a vibrant & dynamic media:
	- Developing and airing both audio and visual programmes targeting farmers on CC&V. The materials
	for these programmes come from their active participation in project meetings and forums (and this leads to improved information dissemination).
	- Able to use the knowledge gained from these forums to publish awareness articles on CC&V and any other project information worth disseminating.

v) Enhancing non-farmer stakeholders' capacity to adapt to climate change/variability through improved access, understanding and decision making/analytical skills.

In order for agricultural service providers to enhance the adaptive capacity of farmers, they themselves need to strengthen their own adaptive capacity ie to become more adaptive. This may need consideration of the vision and governance, management arrangements, capacity and the methods used in working with farmers. Some ideas on this are set out below.

#### Governance and Vision

- Supports the building up of farmer assets in an equitable manner to strengthen their adaptive capacity
- Incorporate longer time horizons in planning & explore different possible pathways

## Management becomes more adaptive

- Base decisions on policy learning and use of climate science and knowledge and develop new practices
- Match to ecological processes at appropriate spatial scales
- Identify alternative scenarios and types of responses
- Evaluate options for resilience
- Understand and challenge assumptions
- Develop frameworks for cooperation between administrative levels/sectors/depts.
- Embrace the diverse motives, incentives and orientations of AAS providers.
- Engage with AIS stakeholders in developing solutions
- Explicitly address uncertainty and incomplete knowledge

# **Capacity**

- Beyond increased investment in capacity building generally, AAS need capacity to: Understand and use climate knowledge and access to information Support for Innovation in Agricultural Innovations Systems (put knowledge into use)
- Greater support for farmer organisation and agency
- Building up of farmer assets and support for equitable institutions

#### **Advisory Methods**

- Help clients live with risk, take up opportunities (e.g. new crop varieties, new climate finance), adapt & transform livelihoods.
- Less blanket/static advice. Extension worker moves to giving information, facilitation, advisor on probabilities and trends.
- Better links and relationships between actors in agricultural innovation systems.

Objective 3. To learn and share lessons for scaling up successful strategies for capacity strengthening (individuals, organizations and systems) within agricultural innovations systems to adapt to climate change and climate variability

vi) Impact of project activities on primary beneficiaries (eg women, resource poor and vulnerable groups) and service providers/ policy actors assessed by key stakeholders

In terms of impact on vulnerable groups, in C. Zone in all the FLG there were members who are considered vulnerable eg widows, elderly, orphans, and they are benefiting from the technologies used on the learning plot and their own fields and from the share of the learning plots harvest (see learning visit report for Central Zone Tanzania, 2011). It was suggested that improved seeds and access to tillage equipment such as ploughs could help the vulnerable benefit more. The FLG members felt that the learning group activities have helped them to adapt to CC as the knowledge they have acquired is helping them get much higher yields on their plots of crop such as sorghum, sunflower, and millet, and as a result has increased their food and livelihood security. One group of adopting farmers In Chibelela village explained that the experiential group learning plot approach had opened minds by showing that "you can increase yields by just using different farming methods and experiments and that differences in yields are not to do with witchcraft which then entails needing to go and visit the Maganga and find out why your neighbour got more than you and what they put in your field etc".

# vii) Scaling up processes for improved strategies to adapt to climate change/variability strengthened by key stakeholders.

The project used a Learning Alliance approach to create opportunities for vertical and horizontal interaction and learning between stakeholders at community, sub-national, national, regional and wider levels. Table 10 below indicates examples of how spaces were made for interaction and opportunities for shared learning.

At community level, **Farmer Learning Groups (FLGs)** were identified and/or or developed in eight villages and districts in both Tanzania and Malawi (this was later reduced to six villages in Malawi). Farmer **Learning plots** provided a physical focus for learning activities in each village.

Cycles of **Participatory Action Research (PAR)** were implemented over three years focusing on the FLGs, involving mainly researchers and district extension officers but also NGOs, Met offices, the media and, in Malawi, seed suppliers. Although we envisaged learning platforms being established at sub-national level comprising these different partners this was only very partially successful.

Perhaps more successful were the project team **Learning Visits** which deliberately involved as many team members as possible to interact with the FLG, other key stakeholders and spin-off adopting farmer groups and farmers.

Cross-border learning between researchers has been through the Learning Visits, workshops, planning and M&E meetings.

At national level **National Consultation Groups** representing public, private and civil society were formed by the project and have started to function as a means of interacting and ultimately influencing national decision makers. This appears to have moved ahead more quickly in Malawi than Tanzania.

In Tanzania, a small number of farmers, district extension, NGO personnel and researchers were trained in use of **participatory video**. The main use of video to date has been documentation and sharing by project team members (see Box 1).

## Box 1. Participatory video

As with many innovations there has been a time-lag as potential impact and use was internalised and as protocols for working out access to and safety of the cameras were sorted out. We are now starting to see a natural snowballing effect. Our CCAA project teams have acquired greater awareness and interest in the potential use of participatory video to achieve sharing and learning aims.

In Tanzania videos are starting to be used to enhance communication and learning amongst boundary partners in our project and the agricultural innovation system (Table 9). So far the video content has essentially been on project activities. Use of video can now be further extended to exchange experiences between project sites, and to connect to and communicate with policy makers and funding sources. Some examples identified include:

Farmer groups/ CBOs are interested in sharing their knowledge and experiences. This appears to be motivated by a desire to share what they have been doing to adapt to climate change with farmers who cannot physically visit the learning plots. They see this as a way to be recognised as innovators in the face of climate change and potentially attract development support eg power tillers from District Council and being trained and recognized as seed producers. The Farmer Learning Group in Iramba district (Central Zone) wants video equipment and solar power equipment to run it.

Extension staff in the Morogoro National Learning workshop in July 2010 used a Flip video to capture the presentation of the Met Officer explaining what global warming was and its implications for Tanzania. This can be shared with his colleagues in the Iramba district extension office, and the other groups of farmers that they work with.

Media partners with links to national TV are now working with our project. This provides a channel for broadcasting farmers' climate change adaptation videos on national TV.

Researchers are expressing interest in helping give voice to farmers for expressing their perceptions and needs to policy and other decision makers.

Table 9. Examples of how video has enhanced sharing of experiences and information on climate change adaptation between boundary partners in agricultural innovations system in Tanzania

Boundary	Farmers	Extensionists	NGOs	CBOs	Private	Media	Researchers	Met	NCG
Partners					stockists			office	
Farmers	√1,3	√1		√1		√1	√1	√1	$\sqrt{2}$
Extensionists						√1			
NGOs									
CBOs									
Private									
stockists									
Media									
Researchers	√1,3	√1					√1		$\sqrt{2}$
Met office									
NCG									

<sup>1.</sup> National Stakeholder Learning meeting Morogoro July 2010; 2. NCG meeting Bagamoyo September 2010; 3. SabaSaba trade fair Dar es Salaam July 2010

Table 10: Interactions between project boundary partners resulting from project activities

	Smallholder farmers	Public extension	NGOs	Stockists	Researchers	Media	Met Office	NCG
Smallholder farmers	Farmer Learning groups (FLGs) within communities and in districts Malawi: Mulanje DADO field days at Nessa for Mtambalika FLG and neighbouring villages within district FLGs from Mzimba to Karonga district for field day. Tanzania: In Bahi and Manyoni districts DALDO has organized field days at Chibelela and Sanjaranda respectively for farmers from surrounding villages. FLG members for Central Zone villages visited NaneNane agricultural show In Laikala FLG has trained 5 other farmer groups. National Stakeholder workshops within countries				Trocodiono.			
Public extension –	FLG activities within districts Learning Visits within districts National Stakeholder workshops within countries	FLGs within districts Learning Visits within districts National Stakeholder workshops within countries						
NGOs.	Farmer Learning groups (FLGs) Learning Visits (INADES, FIPS have been in teams; EAM and others consulted) National Stakeholder workshops within countries	Farmer Learning groups (FLGs) Learning Visits (INADES, FIPS have been in teams) National Stakeholder workshops within countries	Learning Visits with INADES & FIPS in teams National St/holder workshops within countries					
Stockists –.	Malawi – NCG includes chair of ASTAM? and farmer representatives. SEEDCO rep promoted seed through FLGs Tanzania – no direct link	Malawi – SEEDCO rep has worked with extension staff while promoting seed with FLGs Tanzania – no direct link	Malawi – NCG and National Stakeholder workshop Tz – INADES purchased mini- packs of seed for FLGs from company in Arusha	Malawi – Chair of ASTAM on NCG Tz No interaction through project				
Researchers	FLG activities with researchers from within country Learning Visits with researchers	FLG activities with researchers from within countries Learning Visits with researchers	FLG activities with researchers from within countries	Malawi – SEEDCO rep has worked	FLG activities with researchers from within countries			

	from Tz, Malawi, UK National Stakeholder workshops with researchers from Tz. Malawi UK MSc students In Chibelela other researchers are visiting	from Tz, Malawi, UK National Stakeholder workshops with researchers from Tz. Malawi UK	Learning Visits with researchers from Tz, Malawi, UK National Stakeholder workshops with researchers from Tz. Malawi UK	with researcher staff while promoting seed with FLGs Tanzania – some seed purchased from stockists in S.H TZ for FL plots	Learning Visits and National Stakeholder workshops with researchers from Tz, Malawi, UK Planning and M&E meetings with researchers from Tz, Malawi, UK Supply of seed for FLG learning plots eg ARI Uyole researchers provided maize, beans in SH Tz. ARI llonga researchers provided sunflower seed in CZ			
Media -	Stakeholder workshops Occasional visits to communities by newspapers(Mw, Tz), radio (Tz) TV (Mw Tz) reps	Stakeholder workshops Occasional visits to communities by newspapers, radio TV reps	Stakeholder workshops Occasional visits to communities by newspapers, radio TV reps	Malawi – National Stakeholder workshop; NCG Tanzania No direct links	Stakeholder workshops Occasional visits to communities by newspapers, radio TV reps	Stakeholder workshops NCG		
Met office	Malawi – Met Office has given Climate/ weather seminars at beginning of seasons to FLG in their villages; Stakeholder workshops. Tanzania – stakeholder workshops	Malawi – Met Office has given Climate/ weather seminars at beginning of seasons to FLG and extension staff have been present Stakeholder workshops. District HQ and field staff provide weather data to Met Office on routine basis outside project Tanzania – stakeholder workshops.	Malawi – Met Office Climate/ weather seminars FLG in their villages; ?NGOs present? Stakeholder workshops. Tanzania – stakeholder workshops.	Malawi – National Stakeholder workshop; NCG Tanzania No direct links	Malawi Stakeholder workshops Village CC seminars NCG Tz Stakeholder workshop; NCG.	Stakeholder workshops NCG  Met Office disseminated weather info on regular basis via radio, TV, newspaper, internet.	Malawi – UNIMA Met office and Nat Met office in stakeholder meetings, Village CC seminars and NCG NOTE Malawi Met office has changed name to CC & Met Services	NCG meetings Stakeholder workshops
NCG	Malawi - Farmers reps in NCG meeting; Stakeholder workshops Tanzania – individual members in stakeholder workshops	Stakeholder workshops	Stakeholder workshops CARE International rep on Tz NCG	Malawi – NCG ASTAM chair rep Tz -No direct links?	Some project researchers participated in NCG Stakeholder workshops	Mw – Media rep on NCG Tz – Media rep on NCG Stakeholder meetings	Met Office reps on NCG	NCG meetings

In addition, interactions between project team members and international players in the agricultural innovation systems included the following.

- In Central Zone Tanzania Researchers were invited to district Agricultural Development Planning Meetings and in Central Zone and SH Zone Tanzania researchers invited to Regional Meetings;
- SADC team visited Laikala Village in 2009 and reported on it to the ICART programme;
- IDRC / CCAA Advisory Board visited Laikala Village, Tanzania in 2008
- IDRC President visited Nessa Village in Mulanje District in Malawi in 2011;
- University of Pretoria Climate Change Project visited and made a documentary video on climate change in Laikala Village in 2009

## 8.2. Changes in Scientific research or knowledge innovation

This action research project was focusing on innovation ie getting knowledge into use by different actors in the AIS. To what extent was this achieved?

**Smallholder farmers** FLG members: Acquired basic knowledge of CC science. Ability to prioritize research needs and experiment in relation to adaptation to CC and CV enhanced:

**Public extension** Participating Ext staff: Acquired basic knowledge of CC science. Ability to prioritize research needs and experiment in relation to adaptation to CC and CV enhanced

**NGOs** Participating staff: Acquired basic knowledge of CC science. Ability to prioritize research needs and experiment in relation to adaptation to CC and CV enhanced

**Stockists:** Some acquired basic knowledge of CC science.

**Researchers** Participating staff: Acquired basic knowledge of CC science. Ability to do participatory needs identification and prioritization for participatory experimentation in relation to adaptation to CC and CV enhanced.

**Media:** Participants Acquired basic knowledge of CC science. Ability to prepare articles, radio, TV programmes in relation to agricultural adaptation to CC and CV enhanced

**Met Office:** Participants acquired knowledge of farmers and other AIS actors needs in relation to climate and weather information

NCG: Some participants acquired basic knowledge of CC science

Table 11: Knowledge acquired by different boundary partners

	Smallholder farmers – FLG Members	Adopting farmers (not FLG members)	Participating - Public Extension		Stockists	Researchers	Media	Met Office	NCG
Acquired basic knowledge of CC science	<b>√</b> √		✓	<b>√√</b>		<b>√</b> √	<b>✓</b>		✓
Ability to prioritise research needs and experiment in relation to adaptation to CC&CV enhanced	<b>√</b>		<b>√</b>	<b>√</b>					
Acquired an understanding of farmers experiences of and strategies for adapting to CC&CV		<b>√</b> √	<b>√</b>	<b>&gt;</b>		<b>√</b>	>	<b>&gt;</b>	<b>✓</b>
Ability to do participatory needs identification and prioritization for participatory experimentation in relation to adaptation to CC & CV enhanced						<b>✓</b>			
Ability to prepare articles, radio, TV programmes, participatory video (PV) in relation to agricultural adaptation to CC and CV enhanced	<b>✓</b>		✓			<b>√</b>	<b>\</b>		
Acquired knowledge of farmers and other AIS actors needs in relation to climate and weather information								<b>√</b>	

Note: Two ticks = almost all, one tick = some of those who participated

In order to strengthen the capacity of AIS to adapt to CC &CV we have learnt that it needs:

- Analysis and understanding of smallholder farmers (from a diverse range of locations in Malawi and Tanzania and gender disaggregated) knowledge, beliefs and practices regards coping with and adapting to CC & CV
- Analysis of the roles (current and potential) of different players within the agricultural innovation systems
- Analysis and understanding of a range of stakeholders within the agricultural innovation systems knowledge, beliefs and practices regards coping with and adapting to CC &CV
- Cyclical multi-stakeholder analysis of farmer acceptance, rejection, critical views on, and demand for different soil water management and agronomic methods and crop varieties for farming in the face of CC & CV in different locations.
- Multi-stakeholder review workshops to share and improve action research processes and activities and to support their scaling up and out.
- Use of outcome mapping approach in acknowledgement of human behavioural and attitudinal changes, continuous learning and self-assessment as the fundamental elements defining outcomes.
- Formation of and support of National Consultation Groups in both countries to advise on relevant national policies and to ensure integration of the project and national challenges and policies

#### 8.3. Changes in behaviour, capacity, action or relationships

We used an innovation systems perspective to identify key boundary partners and changes needed in their behaviour, capacity and relationships in order to respond to climate change and variability. What changes were observed?

## Smallholder farmers

- FLG members using new technologies and practices (eg rainwater harvesting, drought resistant early maturing seed, manure or compost incorporation, row planting with regular spacing, grafting) in their own fields as well as in the learning plot.
- FLG members are sharing weather information with others.
- Some FLG members using Flip cameras to capture activities
- Some FLG members are producing community based quality declared sunflower seed (QDS) of a popular drought resistant variety
- Adopting farmers (eg not FLG members) are copying the FLGs new technologies and practices (see first bullet above for details), after having seen the superior performance of the FLGs crops due to these methods particularly in bad seasons.

#### **Public extension**

- Changes not yet apparent.
- May require other organizational and management changes in order that resources etc are in place
- Use of Flip camera by Iramba and Mufindi district staff

#### NGOs

- INADES motivated to learn more about and share CC information with other farmer networks in Tanzania (eg training of MVIWATA members)
- Sourcing funding to scale out the CC activities of the FLG in other villages or with other groups of farmers
- Collaborating on other CC projects eg INADES Tz worked with Christian Aid exploring the differences and overlaps of indigenous and scientific climate knowledge

#### **Stockists**

• SEEDCO now working with FLGs to promote their seed through link with NCG in Malawi

#### Researchers

- Considering CC implications in research programme activities
- Preparing more and better CC research proposals
- Trying to influence others about importance of CC and links with sustainable agriculture
- Using PAR
- Recognizing need to work with different stakeholders in AIS.
- Acting as CC resource people
- Motivated to participate in the CC debate

#### Media

- Trend towards greater coverage of CC and other environmental issues in the media. This has made it easier to work with some media actors.
- See Section 7.3. Policy and Media Outputs

#### **Met Office**

- Malawi capacity to interact with FLGs independently in Chikwawa.
- Tanzania Met Office willing to work with and use FLG weather data.

## NCG

• See policy section 8.4 below

## 8.4 Influencing policy

Influence on policy may be considered in terms of: a) whether a boundary partner has been empowered to influence policy; b) if the has project has influenced a BP's policy and c) whether the project has influenced policy implementation?

#### Smallholder farmers

• FLG members are better able to articulate their priorities, needs and strategies in adaptation to CC.

# **Public extension**

- Public extension's ability to articulate their priorities, needs and strategies in adaptation to CC has been strengthened but this has been limited by:
  - Movement of staff

- Lack of a regular forum for extension staff in project to interact with each other and other AIS CC stakeholders.
- Project focused on individuals rather than extension organizations.
- Deep tillage promotion included in Iramba DADP (104 Magoye rippers bought for distribution to groups), and by Manyoni district and Bahi district extension. More than 77 Magoye rippers in bought by the extension department in Manyoni district. Use of deep tillage and drought resistant varieties incorporated into Bahi district by-laws to help in adaptation to CC&CV

#### **NGOs**

- INADES has joined Pan African Climate Justice Alliance (PACJA) aims to influence UNFCCC COP meeting negotiations, push African govt negotiators to be more vocal.
- Aiming to influence decision makers to bring DRR and adaptation policy closer together.

#### **Stockists**

No influence here.

#### Researchers

- In Malawi and Tanzania researchers have influenced other researchers through presentations in various meetings. This has led to other researchers preparing CC related research proposals.
- Proposal preparation is now involving a wider range of stakeholders eg Met Office in Tz.
- Project has started to gather evidence to inform policy. However this may not yet be being shared widely enough to have significant influence.

#### NCG

Creative forums can be used to bring researchers and policy makers together. The projects
creation of the National Consultation Groups in both Tanzania and Malawi, are an example
of this.

#### General

- Multi-stakeholder collective action learning processes have been used throughout the project, in order to try and bring about ways for stakeholders to interacting differently together and to open up new opportunities and directions
- Policies made at whichever level need take into account the social-economic and agroecological diversity of the population. Highlighting the importance of facilitating local level multi-stakeholder learning.

# 8.5. Technology development, adoption and adaptation

The following table summarises key technology development, adoption and adaptation that has occurred during the project. Further details can be found in sections 6, 7 and 8 of this report.

Table 12: Technology development, adoption and adaptation

Smallholder farmers	Public extension	NGOs	Stockists	Researchers	Media	Met Office	NCG
Tanzania:	Tanzania:	Tanzania:	Tanzania:	Tanzania:	Tanzania:	Tanzania:	Tanzania:
- Farmers are collecting and starting to	- Deep tillage promotion included in	<ul> <li>Sanjaranda Bible</li> </ul>	- Stockists	<ul> <li>Researchers have</li> </ul>	<ul> <li>Through their</li> </ul>	- Through making	<ul> <li>NCG members</li> </ul>
use data from their weather stations.	Iramba DADP (104 Magoye rippers	College has	involvement has	strengthened their	participation in	presentations to	are aware of
<ul> <li>Farmers are now purchasing and</li> </ul>	bought for distribution to groups).	replicated the FLG	been minimal.	understanding of how	field visits and	farmers in	the approach
using the drought resistant and early	- In Manyoni district 75 previously bought	approach and	However farmers	to facilitate experiential	workshops	workshops and	and techniques
maturing varieties tested on the learning	Magoye rippers are now being	techniques	have been	learning/ PAR	media have	the village	being used by
plot in their own fields (CZ)	distributed together with training of	<ul> <li>INADES has</li> </ul>	supported in	processes, and listen	increased their	seminars, their	the project and
- Farmers are replacing their slash and	farmers	replicated the FLG	stocking small	to farmers	exposure to and	understanding	the outcomes.
burn land preparation practice with	- In Bahi district, the DALDO is planning	approach and	packs of popular	requirements before	understanding	of the type of	
deep tillage practices which harvest	to include deep tillage and use of	techniques in	early maturing	offering suggestions of	of the impacts	information to	Malawi:
rainwater in-situ and help their crop	drought resistant seed in their by laws.	another project	drought resistant	what they might like to	of CC and the	include and how	
survive the dry spells (CZ)	They are also organising field trips	- INADES is training	maize varieties in	test.	strategies	to relate it has	indication of
<ul> <li>Farmers are producing and selling</li> </ul>	taking farmers from other villages to see		Maluga village.	- Researchers have	farmers are	increased.	willingness of
quality declared sunflower seed (CZ)	and hear about the learning plot	MVIWATA network		increased their	testing in order	<ul> <li>Access to wider</li> </ul>	NCG members
In most of the target villages other	activities from the FLG	on CC science and	Malawi:	understanding of the	to adapt to	met data sets	to facilitate
farmers are now copying the FLG	- In Laikala village, the extension worker	adaptation	- In NCG and	socio-economic	them. However	through weather	decision on
techniques	is supporting 5 other new climate	Malauri	stakeholder	constraints to	despite this and	data from FLG	technology
100	learning farmer groups following the	Malawi:	meeting STAM	technology adoption	the public's	village weather	development
Malawi:	same approach and practices	- EAM adopted	conceded that	(eg expense of	interest in CC, involvement of	stations	and dissemination
- Farmers have started growing	Malawi:	irrigated crop	inappropriate crop varieties are	implements, drudgery etc) and are trying to	the media has	Malawi:	
improved crop varieties suitable for		production technologies (eg	sometimes sold	find creative solutions	not been easily	- Capacity to	taking into account the
their areas eg in Mulanje, farmers	In Nessa Village, PE officers demanded and facilitated farmer field days on	crop varieties and	in a particular	illia creative solutions	facilitated.	simply and	social and
selected one longer and one short	conservation agriculture where	soil and water	area and that in	Malawi:	iaciiitatea.	translate into	agro-ecological
duration maize variety to spread	performance was superior.	management) and	future they should	- Enhanced capacity to	Malawi:	the appropriate	diversity in the
climate risk while in Chikhwawa,	- Capacity of PE to provide extension	have capacity to	provide more	analyse farmers needs		language the	country
farmers strictly restrict themselves to	service according to suitable	respond to provide	information about	and develop/ introduce	articulate the	CC science for	oouna y
planting short duration varieties.	technologies in specific areas	the right resources	which varieties	tailored technologies/	CC science and	dissemination of	
- Systematic mono and intercropping of	- PE have adopted soil and water	(eg human,	are suitable for	innovations for	implications for	information to a	
maize and pigeon pea or cowpea and	management and crop varieties suited	technological), to	which locations as	enhanced adoption	agriculture in	diversity of	
other crops as well as soil and water	to their local area (see annual report for	facilitate adoption of	eg from results of	'	Malawi and the	clients	
management practices have also	details)	particular	CCAA learning		dissemination of		
been adopted	,	technologies by	plots. This would		information to a		
		farmers	be tabled in their		diversity of		
			STAM meetings.		clients		

## 8.6 Changes in the state of economic, social, health, political or environmental conditions

Changes in the state of economic, social, health, political or environmental conditions are summarised below.

#### Tanzania:

Farmers in the FLGs and adopting farmers state that their yields have increased significantly as a result of the practices they have tested in the learning plots and now adopted on their own fields. They state that this increased yield has helped them in: becoming more food secure; not needing to migrate so regular to look for casual labouring opportunities (Men Laikala FLG); purchasing assets. They are also more concerned about environmental deterioration and most FLGs have been planting trees. All the stakeholders involved feel their knowledge and understanding regards CC and CC adaptation has increased. The multi-stakeholder learning alliance approach used, has helped increase the voice/power of the FLG farmers through involving them in the decision making, planning, and multi-stakeholder implementation of the project.

Extension and local policy makers have reported that their involvement in the project has helped them to feel (through the FLG experiences) that there are practical ways of adapting to CC that farmers can develop, helping them see the opportunities as opposed to just the challenges.

The NCG members have explained that Tanzania is looking for these kinds of participatory initiatives to scale up, and that as they don't yet have funds to support many of the proposed NAPA initiatives, it is important that other funds are being used to support such timely and important learning, which can then be used by Tanzania at scale.

#### Malawi:

Farmers in the FLG now have a more diverse range of agriculture produce for food and nutrition at household level due to new crop varieties and improved production methods. Irrigated maize production in Chikhwawa has allowed the production of maize, sweet potato, vegetables in the dry season. Availability of quality food implies healthy life and social economic status of farmers.

Public extension and researchers have enjoyed the satisfaction of being an important part of a process developing/ disseminating technologies/ innovations to farmers which have enhanced these farmers lives.

Stockists have potentially increased profits due to widespread adoption of some of the crop varieties they sell, due to increased farmer exposure to them during the testing of them in their learning plots.

# 9.0 Overall Assessment and Recommendations

The overall objective was to strengthen the capacity of individuals, organizations and systems within the agricultural innovation systems in less favoured areas (semi-arid Central Zone-Singida and Dodoma, Tanzania and Chikwawa/Karonga, Malawi) and more favoured areas (Southern Highlands, Tanzania and Mulanje/Mzimba Malawi) of Tanzania and Malawi to adapt to the challenges and opportunities arising from CC & V.

Usefulness of Partnerships in achieving the overall objectives

- A strong partnership has developed between the three collaborating institutions: IRA, Tanzania; NRI, UK; and NAREC, Malawi. The institutions are now collaborating in implementation of other projects as well.
- However the project has operated using a project team approach to share planning, implementation and lesson learning. Some of the members of the project team have changed over time due to individuals moving organisation, individuals being away for extended periods of further study. In addition to IRA, NAREC and NRI, the other key individuals in the project team came from, in Tanzania: ARI Hombolo, ARI Uyole, INADES Formation Tanzania, in Malawi: Byumbwe Agricultural Research Institute, and Bunda College. In Tanzania these organisations took charge of ensuring the action research happened on the ground.
- Due to the design and approach of the project at each learning site different key actors from the local agricultural innovation system were involved. This has strongly involved partnerships with and between farmer learning groups, village based extension officers, district level extension officers, local NGOs. It had been initially hoped to build stronger partnerships with agro-input stockists, media and meteorological officers, whilst they were involved in the project at some of the learning plot sites and often at national level, the level of participation was not what we had initially envisaged.
- Team working established through such partnerships made full use of the complementary but different skills and experiences of the individuals and organisations.
- The approach taken in implementing this project was supported by the CCAA programme of IDRC, and a strong relationship has developed between them and the IRA of the University of Dar es Salaam, Tanzania

#### Contribution of the project to development

The economies of Tanzania and Malawi depend on agriculture. This project contributed to a number of important issues for developing and strengthening the resilience of agriculture in these countries including:

- Highlighting the role of local agricultural innovation systems (AIS) in bringing about change, and in not only getting knowledge into use but also scaling-out the use of that knowledge through the AIS actors' different networks and activities.
- Facilitating multi-stakeholder learning processes, helping to broaden understanding that
  there are few if any one size fits all solutions and therefore the importance of strengthening
  different actors skills in experimenting/discovery learning to see which are the more suitable
  methods and approaches for their own situation and role.
- Bringing the different AIS actors together also helps build relationships and trust for future activities. Eg stronger linkages between researchers and NGOs, between met officers and extension will help in ensuring information flows and collaborative activities in the future.
- The project facilitated the testing by multi-stakeholder groups of a range of agricultural adaptation to CC technologies and processes, which will also be important to other areas with similar agro-ecological and socio-economic conditions.
- The project has raised a great deal of awareness about: CC, how CC is impacting on rural
  livelihoods in different locations, possible options for adapting to CC, ways of collaboratively
  working in order to address complex multi-faceted and uncertain problems such as CC. It has

- built the AIS actors involved confidence in understanding, discussing and being able to address CC issues.
- While it is not easy to recognize changes in terms of development within a short period of time. The capacity building through this project in terms postgraduate training focused on deepening understanding of CC impacts on rural communities, and agricultural adaptation to CC. In time these individuals are likely to take roles in various organisations and use their understanding of CC and adaptation issues in influencing the activities of those organisations.
- Through the use of the AIS approach, the project understood the need to work with all kinds of relevant stakeholders, and has helped in facilitating improved linkages between some. For example in Malawi, discussions were held with Ilovo sugar company in Malawi to ensure that sufficient irrigation water for farmers in the surrounding community were available during the full length of the growing season, in Tanzania district councils decided to use their budgets to supply (often on a shared cost basis) power tillers and Magoye rippers to active farmer learning groups having seen the impact of these implements when used together with strong and practical field training and understanding of the tillage principles behind them.
- Other farmers in the learning villages and in surrounding villages have adopted many of the techniques and approaches used by the projects farmer learning groups. This is likely to continue to increase over time, as more farmers validate the importance of these techniques in their own situations for helping in adapting to CC. Other players in the AIS eg extension and local NGOs are also replicating the approach and techniques using their own resources.
- The CCAA program and project teams have helped to build the capacity of African scientists to lead large projects.

#### Lessons for future projects

- We have learnt that the learning alliance approach we used in this project is important for ensuring that the relevant players in the AIS work together to bring about meaningful and lasting change in their practices. However, such multi-stakeholders processes take time to develop (even when some of the actors are already known to each other), and are therefore resource intensive. For complex multi-stakeholder processes such as these a longer timeframe of implementation would bring significant returns. For example, in the current project it is just this year that we are seeing: other AIS actors buying in to the projects activities and replicating them with their own funds, neighbouring farmers starting to copy the farmer learning group processes. These farmer learning groups have now developed a good understanding of CC and its potential impacts, and first hand experience of some agricultural options and processes for addressing it. If supported, they and the other local AIS involved can act as important resource persons in scaling up the learning and bringing significantly increased returns to the investments already made.
- Partnerships while often tricky and time consuming to manage, add significant value projects
  such as these. Such partnerships between organisations in the South and North, between
  organisations in different countries in the South and between different organisations within
  local agricultural innovation systems need to be encouraged, and this must be from the very
  early stages of a project to build in time for relationship growth and ownership.

## Relevance/value and importance of the project

- Compared with other research projects and development programs that involve communities, this project despite its relatively small resources achieved a lot (see sections 6,7 and 8 of this report for details).
- A lot of scaling out and up activities are now resulting from this project, highlighting that its
  approach has been practical and the work timely given the increased interest in and
  understanding of CC adaptation that is now developing amongst many different players in
  the AIS.

- The funds allocated to this project were sufficient due to critical and costed analysis of what could be done each season during the planning stages. Over 90% of what was planned has been achieved.
- The action research needs to continue but a challenge is how? While the use of an agricultural innovation systems approach is about building relationships between different players so that they will continue beyond the short lifespan of a single project, those relationships take time and resources to develop, and we wish there was some way of helping to ensure these learning alliances continue during these early years in which they are just beginning to see their own potential.

#### Recommendations to IDRC

- The approach used to implement A CCAA program that is giving an opportunity for African Scientist to lead large research program is praised and needs to be encouraged
- The interaction between IDRC through CCAA advisory management board, IDRC top officials including the President was quite useful in terms of enabling higher level officials to value on going projects and also to build confidence of researchers. This spirit needs to be encouraged to continue
- IDRC needs to identify successful projects/programs and support appropriate ways of scaling up and out the findings (approaches and technologies).
- IDRC should support policy dialogue workshops once the projects are over, so that key lessons and information are shared to maximise the value of these investments and processes.

# Appendices

Appendix 1. Farmers' changing capacity to adapt to climate change and climate variability

a) Tanzania

a) Tanzania	LUCUED DOTENT	AL C. LUCLU ANDC	LOWED DOTENTIA	N OFNITDAL ZONE
LOCATION		AL S. HIGHLANDS		AL CENTRAL ZONE
Livelihood theme	MBEYA	IRINGA	DODOMA	SINGIDA
Crop diversity –	Introduction of new	Introduction of new	For example new	For example new
wider range of new	beans and wheat	avocado, banana and	sunflower, sorghum,	sunflower, maize,
crops and varieties	varieties in Kapugi	maize varieties in	maize varieties in	sorghum, lab lab
(see also Table 2,		Nyombo village	Laikala and Chibelala	varieties in Sanjaranda
outcome 3).			villages	and Maluga villages
Crop resilience –	See Table 1 outcome			
seed of varieties	3	3	3	3
more suited to				
weather conditions				
Access and ability	eg Kapugi group			
to keep livestock –	accessed pigs from			
which can act as a	Rungwe DALDO office			
buffer,				
Ability to store food			Trained on use of	Trained on use of
			storage pesticides	storage pesticides in
			such as Actellic super	Maluga village
			in Laikala and	
			Chibelela villages as	
			improved sorghum	
			vars. were more	
			susceptible to storage	
			pest damage than	
			traditional vars	
Financial capital	Eg Mpunguti group	Eg Nyombo group	Eg Chibelela	Eg Sanjaranda
Financial capital	received treadle			
		accessed a power tiller	sunflower producers	learning group
	pumps for irrigating	on shared cost basis	were linked to newly	received a power tiller
	vegetables	from Njombe DALDO's	established oil milling	from the district council
		office	plant	
Natural capital	-	Eg Nyombo village,	Eg Laikala and	Eg Improved soil
		Iringa received support	Chibelela village	productivity
		in raising natural tree	gained knowledge on	
		seedling to be planted.	tree planting and	
		Mwitikilwa village	improve soil	
		raised and planted	management	
		pine trees for timber		
		production and soil		
		conservation		
		CONSCI VALION		
Human capital –	Eg planting of new rice	Eg Knowledge in	Eg increased capacity	Eg increased capacity
better	varieties and use of	managing banana and	for recording rainfall	for recording rainfall
understanding of	treadle pumps in	avocado plant and tree	and temperature and	and temperature and
· ·		•	•	
weather,	Mpunguti village.	respectively.	making interpretations.	making interpretations.
knowledge of			Increased capacity of	Increased capacity of
managing (new)			farmers in managing	farmers in managing
crops			soil and water	soil and water
			harvesting using deep	harvesting using deep
			tillage and ridges	tillage and ridges
Physical capital	Eg. Treadle pump	Eg. power tiller	Eg. Access to Magoye	Eg. Access to Magoye
	. ,		ripper, spring jembes	ripper, spring jembe
-				